

1/2-maximal consistent truncations of EFT and the K3 / Heterotic duality

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Geometry and Physics, Schloss Ringberg, 24th November 2016

[EM arXiv:1612.01692](#), [arXiv:1612.01990](#)

- $E_{d(d)}$ invariant extension of supergravities.
- Similar to generalised geometry $TM \oplus T^*M$, $TM \oplus \Lambda^2 T^*M$, ...
- ... but with extra coordinates (in representation of $E_{d(d)}$).
- $E_{d(d)}(\mathbb{Z})$ is toroidal U-duality group. Does it play the same role here?
- No! $E_{d(d)}$ is linear symmetry group.
- DoFs of 11-dimensional supergravity organise into $E_{d(d)}$ reps, c.f. generalised geometry.
- $GL(11) \longrightarrow GL(7) \times GL(4) \longrightarrow GL(7) \times SL(5) \times \mathbb{R}^+$
- $g_{ij}, C_{ijk}, C_{ijklmn}, \dots \longrightarrow M_{ab}(x, Y) \in SL(5)/USp(4)$
- $g_{\mu i}, C_{\mu ij}, C_{\mu ijklm}, \dots \longrightarrow A_{\mu}{}^{ab}(x, Y)$
- In general, two-forms, etc. appear too.
- 10 “extended coordinates” Y^{ab} . Why extra coordinates?
- Extra coordinates when on CY?

- EFT useful for consistent truncations to maximal gSUGRA, e.g. Lee, Strickland-Constable, Waldram 1401.3360; Godazgar², Krüger Nicolai, Pilch arXiv:1410.5090; Hohm, Samtleben arXiv:1410.8145; EM, Samtleben arXiv:1510.03433
- Makes sense: $E_{d(d)} \rightarrow$ scalar coset.
- What about partial SUSY-breaking consistent truncations?
- e.g. $\mathcal{N} = 2$ in 4-d?
- Start easy: $SL(5)$ EFT for $\mathcal{N} = 2$ in 7-d with n vector multiplets, e.g. M-theory on K3.

- Understand $\mathcal{N} = 2$ in $SL(5)$ EFT.
- Express $SL(5)$ EFT in terms of $\mathcal{N} = 2$ variables.
- Perform $\mathcal{N} = 2$ consistent truncation.
- Deformation to Heterotic DFT.
- K3 / Heterotic duality.

$\mathcal{N} = 2$ in 7 dimensions

- Fermions in $SL(5)$ EFT $\in USp(4)$ reps: $i = 1, \dots, 4$, Ω_{ij} symplectic
- $\mathcal{N} = 2 \Rightarrow$ two well-defined spinors $\theta^{\dot{\alpha}, i}$ (symplectic Majorana)

$\mathcal{N} = 2$ spinors

$$\begin{aligned}(\theta^{\dot{\alpha}, i})^* &= \Omega_{ij} \epsilon_{\dot{\alpha}\dot{\beta}} \theta^{\dot{\beta}, j}, \\ \theta^{\dot{\alpha}, i} \theta^{\dot{\beta}, j} \Omega_{ij} &= \kappa \epsilon^{\dot{\alpha}\dot{\beta}}.\end{aligned}$$

- $\dot{\alpha} = 1, 2$ label $SU(2)_R$.
- κ is density of weight $\frac{1}{5}$
- Generalised tangent bundle has $SU(2)$ -structure. [Coimbra, Strickland-Constable, Waldram arXiv:1411.5721](#)

Spinor bilinears

- Form spinor bilinears in $SL(5) \times \mathbb{R}^+$ reps: $a = 1, \dots, 5$

$SU(2) \subset SL(5) \times \mathbb{R}^+$ structure

Generalised $SU(2)$ -structure \iff globally well-defined

$$\kappa \in \mathbf{1}, \quad A^a \in \bar{\mathbf{5}}, \quad A_a \in \mathbf{5}, \quad B_{u,ab} \in \mathbf{10}, \quad u = 1, 2, 3,$$

satisfying

$$B_{u,ab}A^b = 0, \quad A^a A_a = \frac{\kappa^2}{2}, \quad B_{u,ab}B_{v,cd}\epsilon^{abcde} = 4\sqrt{2}\delta_{uv}\kappa A^e.$$

- Compatibility conditions follow from definition as spinors.
- These implicitly define a generalised metric!
- Generalised vector

$$V_u{}^{ab} = \frac{1}{\kappa}\epsilon^{abcde}B_{u,cd}A_e, \quad V_u{}^{ab}B^v{}_{ab} = 4\sqrt{2}\delta_u{}^v\kappa^2.$$

- $(\kappa, A^a, A_a, B_{u,ab})$ instead of generalised metric \mathcal{M} .

$SU(2)_S$ connection $\tilde{\nabla}$

$$\tilde{\nabla}_{ab}\kappa = \tilde{\nabla}_{ab}A_c = \tilde{\nabla}_{ab}A^c = \tilde{\nabla}_{ab}B_{u,cd} = 0.$$

$\tilde{\nabla}$ always exists but may not be torsion-free.

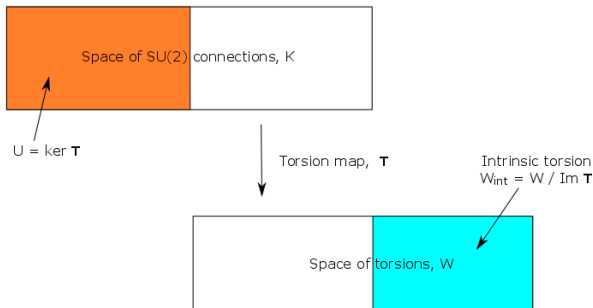
- Intrinsic torsion corresponds to fluxes.
- Scalar potential, SUSY variations, ... in terms of intrinsic torsion.
- Truncation: intrinsic torsion \longrightarrow embedding tensor.

Intrinsic torsion

- Torsion is the tensor part of the connection.
- Recall: EFT has generalised Lie derivative.

$$\mathcal{L}_\Lambda^\nabla V^a - \mathcal{L}_\Lambda V^a = \frac{1}{2} \tau_{bc,d}^a V^d \Lambda^{bc}.$$

- Torsion: $\tau_{ab,c}^d$.
- Torsion depends on choice of connection.



- Independent of connection: Intrinsic torsion $\in W_{int} = W / \text{Im } T$.

Intrinsic torsion

- Rep theory:

$$W_{int} = 2 \cdot (\mathbf{1}, \mathbf{1}) \oplus 2 \cdot (\mathbf{1}, \mathbf{3}) \oplus (\mathbf{3}, \mathbf{1}) \oplus (\mathbf{3}, \mathbf{3}) \oplus 3 \cdot (\mathbf{2}, \mathbf{2}) \oplus (\mathbf{2}, \mathbf{4}) .$$

- Intrinsic torsion: independent of $SU(2)$ connection \Rightarrow can find expressions without using connection.
- Look for tensors involving one derivative, e.g.

$$S = \kappa^{-1} A^a \partial_{ab} A^b = \kappa^{-1} \left(A^a \tilde{\nabla}_{ab} A^b + \frac{1}{2} A^a A^b \tau_{ca,b}{}^c \right) = \kappa^{-1} A^a A^b \tau_{ca,b}{}^c .$$

- Use the three generalised vectors $V_u{}^{ab}$.

$$W_{int} = 2 \cdot (\mathbf{1}, \mathbf{1}) \oplus 2 \cdot (\mathbf{1}, \mathbf{3}) \oplus (\mathbf{3}, \mathbf{1}) \oplus (\mathbf{3}, \mathbf{3}) \oplus 3 \cdot (\mathbf{2}, \mathbf{2}) \oplus (\mathbf{2}, \mathbf{4}) .$$

Singlets

$$T = \frac{1}{12\kappa^2} \epsilon_{uvw} V^{u,ab} \mathcal{L}_{V^v} B^w{}_{ab} ,$$

$$S = \kappa^{-1} A^a \partial_{ab} A^b .$$

Intrinsic torsion - triplets

$$W_{int} = 2 \cdot (\mathbf{1}, \mathbf{1}) \oplus 2 \cdot (\mathbf{1}, \mathbf{3}) \oplus (\mathbf{3}, \mathbf{1}) \oplus (\mathbf{3}, \mathbf{3}) \oplus 3 \cdot (\mathbf{2}, \mathbf{2}) \oplus (\mathbf{2}, \mathbf{4}) .$$

(1, 3)

$$T_u = -2\kappa A^a \mathcal{L}_{V_u} (A_a \kappa^{-4}) ,$$
$$S_u = 2\kappa^{-6} \mathcal{L}_{V_u} \kappa^5 .$$

(3, 1)

$$T_{ab} = \frac{1}{12\kappa} \left(\delta_{ab}{}^{cd} - \frac{1}{2\sqrt{2}\kappa^2} B^v{}_{ab} V_v{}^{cd} + \frac{4}{\kappa^2} A_{[a} A^{[c} \delta_{b]}{}^{d]} \right) \mathcal{L}_{V_u} B^u{}_{cd} .$$

(3, 3)

$$T^u{}_{ab} = \frac{1}{12\kappa} \epsilon^{uvw} \left(\delta_{ab}{}^{cd} - \frac{1}{2\sqrt{2}\kappa^2} B^v{}_{ab} V_v{}^{cd} + \frac{4}{\kappa^2} A_{[a} A^{[c} \delta_{b]}{}^{d]} \right) \mathcal{L}_{V_u} B_{w,ab} .$$

Intrinsic torsion - doublets

$$W_{int} = 2 \cdot (1, 1) \oplus 2 \cdot (1, 3) \oplus (3, 1) \oplus (3, 3) \oplus 3 \cdot (2, 2) \oplus (2, 4) .$$

(2, 2)

$$S_a = \kappa^{-2} \left(\partial_{ab} \left(A^b \kappa^2 \right) - 2A_a A^b \partial_{bc} A^c \right) ,$$

$$T_a = \frac{1}{12\kappa^3} \epsilon^{uvw} B_{u,ab} V_v^{bc} \mathcal{L}_{V_w} A_c ,$$

$$U_a = \kappa^{-2} B_{u,ab} \mathcal{L}_{V^u} A^b .$$

(2, 4)

$$T^u{}_a = \kappa^{-2} \left(\delta_a{}^b \delta^u{}_v + \frac{\sqrt{2}}{3\kappa} B^u{}_{ac} V_v^{cb} \right) \epsilon^{vwx} B_{w,bc} \mathcal{L}_{V_x} A^c .$$

- Scalar potential = part of EFT action involving only ∂_{ab} derivatives.
- Rewrite the scalar potential in terms of the intrinsic $SU(2)$ torsion.
- Intrinsic torsion involves one $\partial \Rightarrow$ build ∂^2 terms using (intrinsic torsion)².
- Build metric on the $(\mathbf{2}, \mathbf{2})$

$$M_{ab} = \kappa^{-3} \epsilon_{uvvw} B^u_{ac} B^v_{bd} V^{w,cd},$$

and on $(\mathbf{1}, \mathbf{3}) \oplus (\mathbf{3}, \mathbf{1})$

$$N_{[ab],[cd]} = \frac{1}{\kappa} \epsilon_{abcde} A^e.$$

- The “scalar potential” of SL(5) EFT can be written as

$$V\epsilon^i = \frac{1}{2}\nabla_{jk}\nabla^{ki}\epsilon^j - \frac{1}{2}\nabla_{jk}\nabla^{jk}\epsilon^i + \frac{3}{2}\nabla^{ik}\nabla_{jk}\epsilon^j.$$

Coimbra, Strickland-Constable, Waldram, arXiv:1112.3989.

- Use $\mathcal{N} = 2$ spinors $\epsilon^i = \theta^i_{\dot{\alpha}}\epsilon^{\dot{\alpha}}$ and integrate by parts.

$$V = \nabla_{jk}\theta^{\dot{\alpha}}_i\nabla^{ik}\theta^j_{\dot{\alpha}} - \frac{1}{2}\nabla_{jk}\theta^{\dot{\alpha}}_i\nabla^{jk}\theta^i_{\dot{\alpha}} + \frac{3}{2}\nabla^{ik}\theta^{\dot{\alpha}}_i\nabla_{jk}\theta^j_{\dot{\alpha}}.$$

- Explicit calculation shows

$$V = \frac{1}{2}S^2 - \frac{1}{8}T^2 - \frac{1}{\sqrt{2}}ST - \frac{3}{64}T_uT^u + \frac{1}{32}T_uS^u - \frac{3}{64}S_uS^u \\ - \sqrt{2}T_{ab}T^{ab} - \frac{9}{2\sqrt{2}}T^u{}_{ab}T_u{}^{ab} - \frac{1}{6\sqrt{2}}S_aS^a - \frac{1}{3}S_aT^a + \frac{1}{6}U_aS^a.$$

Consistent partially SUSY-breaking truncation

- Want to define consistent truncation to 7-d $\mathcal{N} = 2$ gSUGRA.
- \Rightarrow truncate on generalised $SU(2)$ manifold.
- \Rightarrow expand $A^a, A_a, B_{u,ab}$ in *finite* basis of tensors depending on Y^{ab} .
- $\mathcal{N} = 2$ theory \Rightarrow no doublets of $SU(2)_S$.
- For $(\kappa, A^a, A_a, B_{u,ab})$ under $SL(5) \rightarrow SU(2)_S \times SU(2)_R$

$$\mathbf{5} \rightarrow (\mathbf{1}, \mathbf{1}) \oplus \cancel{(\mathbf{2}, \mathbf{2})}, \quad \mathbf{10} \rightarrow (\mathbf{1}, \mathbf{3}) \oplus (\mathbf{3}, \mathbf{1}) \oplus \cancel{(\mathbf{2}, \mathbf{2})}.$$

Truncation basis

Finite basis of truncation: $(\rho, n^a, n_a, \omega_{M,ab})$

$$\omega_{M,ab} = (\omega_{I,ab}, \omega_{A,ab}), \quad \omega_{M,ab} n^b = 0, \quad M = 1, \dots, n+3,$$

3 sections of $(\mathbf{1}, \mathbf{3})$ and n sections of $(\mathbf{3}, \mathbf{1})$ bundle of $SU(2)_S \times SU(2)_R$.

$$n^a n_a = 1, \quad \epsilon^{abcde} \omega_{M,ab} \omega_{N,cd} = 4\eta_{MN} n^e, \quad \eta_{MN} \text{ is } O(3, n) \text{ metric.}$$

Scalar Truncation Ansatz

$$\kappa(x, Y) = k(x) \rho(Y),$$

$$A^a(x, Y) = \frac{1}{\sqrt{2}} a(x) k(x) \rho(Y) n^a(Y),$$

$$A_a(x, Y) = \frac{1}{\sqrt{2}} \frac{1}{a(x)} k(x) \rho(Y) n_a(Y),$$

$$B_{u,ab}(x, Y) = \sqrt{a(x)} k(x) b_u^M(x) \rho(Y) \omega_{M,ab}(Y).$$

- Coefficients depend on external 7-d coordinates x^μ and give 7-d gSUGRA scalars.
- Compatibility condition

$$B_{u,ab} B_{v,cd} \epsilon^{abcde} = 4\sqrt{2} \kappa A^e \Rightarrow b_u^M b_v^N \eta_{MN} = \delta_{uv}.$$

- Identify b_u^M related by $SU(2)_R$ symmetry.

Scalar coset space

- Recall: $a(x)$, $k(x)$, $b_u{}^M(x)$ with $b_u{}^M b_v{}^N \eta_{MN} = \delta_{uv}$, identify by $SU(2)_R$.

Scalar degrees of freedom

$b_u{}^M(x)$ has $3n + 9 - 6 - 3 = 3n$ degrees of freedom.

$$b_u{}^M b_v{}^N = \frac{1}{2} \left(\eta^{MN} - \mathcal{H}^{MN} \right) \in \frac{O(3, n)}{O(3) \times O(n)}.$$

$a(x)$: dilaton, $k(x)$: $|g_7|$.

$$\Rightarrow \mathcal{M}_{scalar} = \frac{O(3, n)}{O(3) \times O(n)} \times \mathbb{R}^+ \times \mathbb{R}^+.$$

- 7 – d vector fields

$$A_\mu{}^{ab}(x, Y) \rightarrow A_\mu{}^M(x) \rho(Y) \omega_{M,ab}(Y), \quad (1)$$

$\Rightarrow n + 3$ vector fields of 1/2-max 7-d gSUGRA coupled to n vector multiplets.

- 2-form

$$\mathcal{B}_{\mu\nu,a}(x, Y) = \mathcal{B}_{\mu\nu}(x) \rho^2(Y) n_a(Y), \quad (2)$$

- 3-form

$$\mathcal{C}_{\mu\nu\rho}{}^a(x, Y) = \mathcal{C}_{\mu\nu\rho}(x) \rho^3(Y) n^a(Y). \quad (3)$$

Consistent truncation

- Conditions for consistent truncation involve generalised Lie derivative.
- Use generalised vectors: $\omega_M^{ab} = \epsilon^{abcde} \omega_{M,cd} n_e$.
- $\mathcal{N} = 2 \Rightarrow$ no doublets! Intrinsic torsion: $3 \cdot (\mathbf{2}, \mathbf{2}) \oplus (\mathbf{4}, \mathbf{2}) = 0$.

Doublet conditions for consistent truncation

$$\begin{aligned}n^a \mathcal{L}_{\omega_M} \omega_{N,ab} &= 0, \\ \partial_{ab} \left(n^b \rho^3 \right) &= \rho^3 n_a n^b \partial_{bc} n^c.\end{aligned}$$

Closure condition for consistent truncation

$\omega_{M,ab}$ must form closed set under generalised Lie derivative:

$$\mathcal{L}_{\omega_M} \omega^N_{ab} = \frac{1}{4} \left(\mathcal{L}_{\omega_M} \omega^N_{cd} \right) \omega^P_{cd} \omega^P_{ab}.$$

Embedding tensor

$\mathcal{L}_{\omega_M} \omega_N^{ab} \omega_{P,ab}$ has only three irreps:

$$\mathcal{L}_{\omega_{[M} \omega_N^{ab} \omega_{P],ab}} = f_{MNP},$$

$$\mathcal{L}_{\omega_M} \omega_{(N^{ab} \omega_{P),ab}} \propto n^a \mathcal{L}_{\omega_M} n_a \eta_{NP} = f_M \eta_{NP},$$

$$\mathcal{L}_{\omega_{(M} \omega_{N),ab} \omega_P^{ab}} \propto \mathcal{L}_{\omega_P} \rho_{\eta MN} = \xi_P \eta_{MN}.$$

Additionally, define

$$\Theta = n^a \partial_{ab} n^b.$$

These are the $O(3, n)$ embedding tensor and singlet deformation parameter Θ .

- Section condition \Rightarrow closure of algebra of generalised Lie derivative \Rightarrow $f_{MNP}, f_M, \xi_M, \Theta$ satisfy quadratic constraints.

Intrinsic torsion and T -tensor

Intrinsic torsion components become the T -tensor of 1/2-max gSUGRA.

$$S \sim k a^2 \Theta,$$

$$T \sim \frac{k}{\sqrt{a}} \epsilon^{uvw} b_u^M b_v^N b_w^P f_{MNP},$$

$$T_u \sim \frac{k}{\sqrt{a}} b_u^M (3\xi_M - f_M),$$

$$S_u \sim \frac{k}{\sqrt{a}} b_u^M \xi_M,$$

$$T_{ab} \sim k \omega_{M,ab} \left(\eta^{MN} + \mathcal{H}^{MN} \right) (\xi_N - f_N),$$

$$T^u{}_{ab} \sim k \omega^M{}_{ab} \left(\eta^{MN} + \mathcal{H}^{MN} \right) \epsilon^{uvw} b_v^P b_w^Q f_{NPQ},$$

$$\vdots \quad \vdots$$

Scalar potential

We obtain the potential of the half-maximal gauged supergravity

$$V = \frac{k^2}{a} \left(-\frac{1}{12} f_{MNP} f_{QRS} \mathcal{H}^{MQ} \mathcal{H}^{NR} \mathcal{H}^{PS} + \frac{1}{4} f_{MNP} f_{QRS} \mathcal{H}^{MQ} \eta^{NR} \eta^{PS} - \frac{1}{6} f_{MNP} f_{QRS} \eta^{MQ} \eta^{NR} \eta^{PS} \right) + (f_M)^2 + (\xi_M)^2 + \Theta^2 + \dots$$

- Similarly, for kinetic terms, topological term, SUSY variation.
- All Y -dependence appears only through embedding tensor: f_{MNP} , f_M , ξ_M , Θ .
- **Constant f_{MNP} , f_M , ξ_M , $\Theta \Rightarrow$ consistent truncation.**

- What if we allow coefficients to depend on Y^{ab} as well?

Heterotic Deformation Ansatz

$$\kappa(x, Y) = k(x, Y) \rho(Y),$$

$$A^a(x, Y) = \frac{1}{\sqrt{2}} a(x, Y) k(x, Y) \rho(Y) n^a(Y),$$

$$A_a(x, Y) = \frac{1}{\sqrt{2}} \frac{1}{a(x, Y)} k(x, Y) \rho(Y) n_a(Y),$$

$$B_{u,ab}(x, Y) = \sqrt{a(x, Y)} k(x, Y) b_u^M(x, Y) \rho(Y) \omega_{M,ab}(Y),$$

- But no doublet derivatives $n^a \partial_{ab} k = n^a \partial_{ab} a = n^a \partial_{ab} b_u^M = 0$.

Heterotic doubled space

- Impose $n^a \partial_{ab} k = n^a \partial_{ab} a = n^a \partial_{ab} b_u{}^M = 0$.
- Assume $(\mathbf{1}, \mathbf{3}) \oplus (\mathbf{3}, \mathbf{1})$ can be expanded in $\omega_{M,ab}$:

$$\partial_{ab} = \frac{1}{4} \omega^M{}_{ab} \omega_M{}^{cd} \partial_{cd}.$$

- Define $D_M = \frac{1}{2} \omega_M{}^{ab} \partial_{ab}$.

$$[D_M, D_N] = \frac{1}{2} f_{MN}{}^P D_P - \omega_N{}^{[ab} \partial_{ab} \omega_M{}^{cd]} \partial_{cd}.$$

- Impose $f_{MN}{}^P D_P = 0$ and section condition on $\omega_M{}^{ab}$.
- Can write $D_M = \partial_M \rightarrow 3 + n$ coordinates of Heterotic DFT.
- f_{MNP} encodes gauge group of Heterotic string [Graña, Marques arXiv:1201.2924](#)
 $f_{MN}{}^P \partial_P = 0$ is required in Heterotic DFT [Siegel hep-th/9305073](#); [Kwak, Hohm arXiv:1103.2136](#).

Heterotic generalised Lie derivative

Take $V^{ab} = \rho(Y) \omega_M^{ab}(Y) V^M(x, Y)$, $\Lambda^{ab} = \rho(Y) \omega_M^{ab}(Y) \Lambda^M(x, Y)$

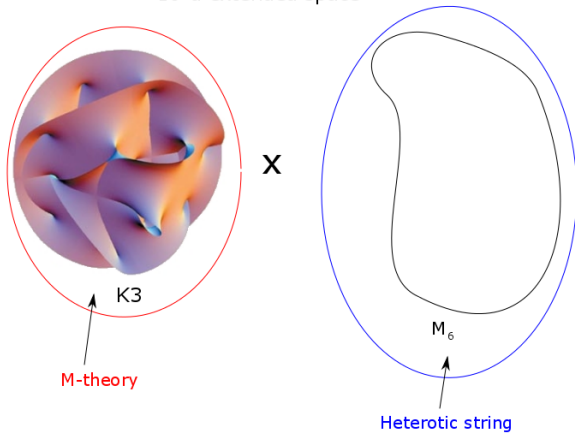
$$\begin{aligned} \omega^M{}_{ab} \mathcal{L}_\Lambda V^{ab} &= \Lambda^N \partial_N V^M - V^N \partial_N \Lambda^M + \eta^{MN} \eta_{PQ} V^P \partial_N \Lambda^Q \\ &\quad + f^M{}_{NP} V^N \Lambda^P. \end{aligned}$$

We obtain the Heterotic generalised Lie derivative.

- Section condition becomes $\eta^{MN} \partial_M \otimes \partial_N = 0$.
- Restricts dependence to 3 coordinates.
- Action becomes Heterotic $O(n, 3)$ DFT action with 7 external directions.
- $b_u^M \longrightarrow$ “frame-like formulation of DFT” [Siegel, hep-th/9305073](#);
[Hohm, Hull, Zwiebach arXiv:1006.4823](#); [Kwak, Hohm arXiv:1011.4101](#).

- Consider consistent truncation on K3 \Rightarrow SU(2)-structure.
- Dependence only on Y^{i5} , $i = 1, \dots, 4$.
- $n^5 = n_5 = 1$, $n^i = n_i = 0$.
- $\omega_{M,ij}$ are 22 harmonic forms.
- Doublet constraints $\propto d\omega_M = 0$.
- All gaugings vanish: ungauged 7-D SUGRA.
- Duality is change of section.
- Interpret Y^{i5} as section: \Rightarrow four M-theory coordinates \Rightarrow K3 truncation of M-theory.
- Interpret 3 out of Y^{ij} as section: \Rightarrow Deformation to Heterotic DFT with gauge group $U(1)^{22} \Rightarrow T^3$ reduction of Heterotic SUGRA.
- K3 lives in the extended space for the heterotic string!

10-d extended space



- Studied consistent 1/2-SUSY truncations of $SL(5)$ EFT.
- These give 1/2-maximal 7-d $SO(3, n)$ gSUGRA.
- Embedding tensor is found from generalised Lie derivative.
- Full gaugings are obtained, including singlet deformation Θ .
- $SU(2)$ -structure in extended space gives rise to heterotic DFT: embedding tensor defines gauge group.
- K3 gauge enhancement at orbifold singularities?
- Non-geometric $SU(2)$ -backgrounds?
- Uplift 7-d stable deSitter gSUGRA? Contains $\Theta \neq 0$. [Dibitetto, Fernández-Melgarejo, Marqués arXiv:1506.01294](#).
- Lower dimensions, less SUSY.