

## Lecture 2: What if the evolution is Unitary?

QFT + Classical GR  $\Rightarrow$  loss of unitarity

Unacceptable, assume unitary evolution and follow the consequences

Imagine a collapse that starts from a pure state

$$|\Psi_{\text{shell}, \text{RAD}}\rangle \sim |\text{shell}\rangle \otimes |0_{-}\rangle$$

$$\hat{U} |\text{shell}\rangle \otimes |0_{-}\rangle = \sum_{m,n} A_{m,n} |\text{shell}\rangle_n \otimes |\text{RAD}\rangle_m$$

$$|\text{shell}\rangle \leftrightarrow |\text{BH}\rangle$$

Density matrix  $\hat{\rho} = |\Psi\rangle\langle\Psi|$

The state is pure  $\Rightarrow$  in some basis

$$\hat{\rho} = \begin{pmatrix} 1 & & \\ & 0 & \\ & & \dots \\ & & & 0 \end{pmatrix}$$

but in the  $|\text{shell}\rangle_n \otimes |\text{RAD}\rangle_m$  basis

$\hat{\rho}$  looks completely random

So there exists some unitary matrix  $U, U U^\dagger = 1$

$$\text{s.t. } U \hat{\rho} U^\dagger = \begin{pmatrix} 1 & & \\ & 0 & \\ & & \dots \\ & & & 0 \end{pmatrix}$$

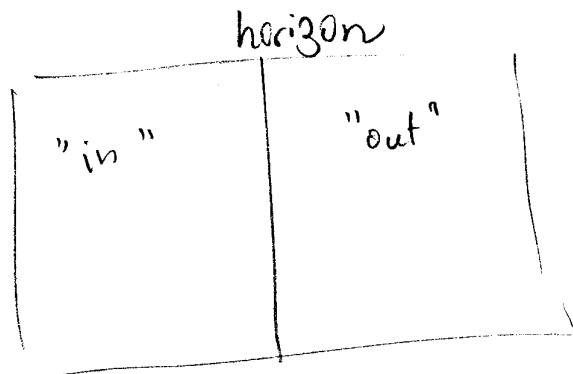
$\uparrow$   
(not to be confused with  $\hat{U}$ )

- Imagine that the horizon divides the "inside" and "out side" of the BH. Assume that both are characterized only by the size of their Hilbert spaces.  $\text{Outside} \sim |\text{RAD}\rangle$ ,  $\text{inside} \sim |\text{BH}\rangle$

Also, because the BH is evaporating the size of "in" and "out" can change, so size-time

- Treat  $U$  - the unitary matrix statistically
- Calculate statistical properties of the reduced density matrix  $\hat{\rho}_{\text{RAD}}$  ( $\hat{\rho}_{\text{out}}$ ) as a function of its size.

The actual calculations do not include specific dynamics, similar in spirit to quantum information problems.



Brief reminder about: density matrices, reduced density matrices  
entropy, Entanglement, Purity, Information

13

Bipartite Hilbert space  $\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2$

$$|\psi\rangle = \sum_{i=1, j=1}^{n, m} A_{ij} |\alpha_i\rangle_1 |\beta_j\rangle_2$$

from BEY  
0508217

Schmidt decomposition thm. (proof: rotate each state independently)

$$|\psi\rangle = \sum_j A_j |\tilde{\alpha}_j\rangle_1 |\tilde{\beta}_j\rangle_2$$

$$\hat{\rho} = |\psi\rangle\langle\psi|$$

$$\hat{\rho}_1 = \text{Tr}_2 \hat{\rho} = \sum_k \langle \tilde{\beta}_k | \hat{\rho} | \tilde{\beta}_k \rangle_2 =$$

$$= \sum_k \langle \tilde{\beta}_k | \sum_j A_j |\tilde{\alpha}_j\rangle_1 |\tilde{\beta}_j\rangle_2 \cdot \sum_r A_r^* \langle \tilde{\alpha}_r | \langle \tilde{\beta}_s | \tilde{\beta}_k \rangle_2$$

$$= \sum_k \delta_{ki} \delta_{ij} A_i |\tilde{\alpha}_i\rangle_1 \sum_r \delta_{rs} A_r^* \langle \tilde{\alpha}_r |$$

$$\hat{\rho}_1 = \text{Tr}_2 \hat{\rho} = \sum_k A_k A_k^* |\tilde{\alpha}_k\rangle_1 \langle \tilde{\alpha}_k |$$

Similarly, for  $\hat{\rho}_2 = \text{Tr}_1 \hat{\rho}$

$\hat{\rho}_1$  is no longer pure!

$$\langle \psi | \hat{O}_1 | \psi \rangle = \text{Tr}(\hat{\rho}_1 \hat{O}_1)$$

Matrix elements of  
restricted operators  
 $\hat{O}_1$

## Measures of purity

How many non-vanishing eigenvalues of  $\hat{\rho}$ ?

Most familiar: Von-Neumann entropy

$$S = -\text{Tr} \hat{\rho} \ln \hat{\rho} = \sum_{i=1}^N p_i (-\log p_i)$$

$$\hat{\rho} \text{ is pure} \Rightarrow S = 0$$

$$\hat{\rho} \text{ is maximally mixed (thermal)} \quad p_i = \frac{1}{N} \Rightarrow S = \log N$$

Most useful estimates involve  $\text{Tr} \hat{\rho}^2 = \sum_{i=1}^N p_i^2$

$$\text{Purity: } P = \frac{\text{Tr} \hat{\rho}^2}{(\text{Tr} \hat{\rho})^2} \quad P = \text{Tr} \hat{\rho}^2 \quad \text{if } \text{Tr} \hat{\rho} = 1$$

$$\text{If } \hat{\rho} \text{ is pure} \quad P = 1.$$

$$\hat{\rho} \text{ is maximally mixed} \quad P = \sum_{i=1}^N \frac{1}{N^2} = \frac{1}{N}$$

$$\text{Participation Ratio: } PR = \frac{1}{P} = \begin{cases} 1 \\ N \end{cases} \quad \text{Max. Mixed}$$

Participation ratio is the most direct measure of the effective number of non-vanishing eigenvalues.

Renyi entropy

$$H_2 = \ln PR = - \ln P = - \ln \left( \sum_{i=1}^N p_i^2 \right)$$

$\hat{\rho}$  pure  $H_2 = 0$

$\hat{\rho}$  Max. mixed  $H_2 = \ln N$

Much easier to calculate the  $S$ , has essentially same content.

In QFT for generic highly excited states

$N \sim \langle \hat{n} \rangle!$   $\langle \hat{n} \rangle$  - average number of particles  
"size of Hilbert space"

So  $S, H_2 \propto \langle \hat{n} \rangle$  "extensive"

Information:  $I = S_{\text{thermal}} - S_{\text{thermal}} - H_2$

How far is  $\hat{\rho}$  from a maximally mixed state?

$I = 0$  for a thermal state

$I$  - maximal for a pure state.

# Thermofield double (TFD)

6

$$|\psi\rangle = \sum_i e^{-\frac{\beta E_i}{2}} |E_i\rangle_1 |E_i\rangle_2 \quad \text{pure state.}$$

$$\hat{\rho} = |\psi\rangle\langle\psi| = \sum_{ij} e^{-\frac{\beta(E_i+E_j)}{2}} |E_i\rangle_1 |E_i\rangle_2 \langle E_j|_1 \langle E_j|_2$$

$$\begin{aligned} \hat{\rho}_1 &= \text{Tr}_2 \hat{\rho} = \sum_m \langle m|_2 \hat{\rho} |m\rangle_2 = \sum_{ij} \delta_{im} \delta_{jm} e^{-\frac{\beta(E_i+E_j)}{2}} |E_i\rangle_1 \langle E_j|_1 \\ &= \sum_i e^{-\beta E_i} |E_i\rangle_1 \langle E_i|_1 \quad \text{TFD "purifier" of } \hat{\rho}_1. \end{aligned}$$

$S(\rho_1)$  - Entropy of entanglement (or just entanglement)

TFD - maximal entanglement

$$|\psi_{12}\rangle = |\psi_1\rangle \otimes |\psi_2\rangle \quad \text{product state}$$

vanishing entanglement

## Problem 1

For a density matrix of size  $N \times N$ ,  
 with  $k$  eigenvalues of magnitude  $x$   
 and  $N-k$  of magnitude  $y$ , calculate  
 $S$ ,  $P$ ,  $PR$ ,  $H_2$ ,  $I$

7

Problem 2 : 2-spin system

a. Calculate the density matrix for

$$|0,0\rangle = \frac{1}{\sqrt{2}} (|\uparrow\rangle_1 \otimes |\downarrow\rangle_2 - |\downarrow\rangle_1 \otimes |\uparrow\rangle_2)$$

in the  $|\uparrow\rangle_1 \otimes |\uparrow\rangle_2$  basis.

b. Calculate  $\hat{S}_1, \hat{S}_2$

c. Calculate  $S_x, S_y, P_1, P_2, (H_2)_1, (H_2)_2$

d. Show that  $\langle 0,0 | \hat{Q}_1 | 0,0 \rangle = \text{Tr}(\rho_1 \rho)$ .

---

# Back to the Page model

$$\hat{S} = |\Psi_{BH, RAD}\rangle \langle \Psi_{BH, RAD}| = \sum_{i,j} \rho_{A_i, B_j} |A_i\rangle \langle B_j|$$

$i, j = 1, \dots, n, n = e^{S_{BH}}$   
 $A, B = 1, \dots, m, m = e^{S_{RAD}}$   
 $m, n \gg 1$

$$\rho_{A_i, B_j} = V_{A_i} V_{B_j}^\dagger, \quad V_{A_i} = \langle A_i | \Psi_{BH, RAD} \rangle$$

$$\sum_i V_{A_i} V_{A_i}^\dagger = 1 = \sum_i |V_{A_i}|^2$$

$$(\hat{S}^{RAD})_{AB} = \text{Tr}_{BH} \hat{S} = \sum_i V_{A_i} V_{B_i}^\dagger$$

Follow Lubkin '78: Treat  $V_{A_i}$  statistically

$V_{A_i}$  random unit vector:  
a column of a unitary matrix

Uniform probability w.r.t. to "Haar measure" - Volume of sphere

Quick and dirty method to get Lubkin results

$$\int dV = 1 \quad \text{- normalization}$$

(Details, precision - see paper)

Average on sphere (integration over angles)

$$\langle \dots \rangle = \int \dots dV$$

$$\langle V_{A_i} V_{B_i}^\dagger \rangle = \dots$$



$$\int du V_{Ai} V_{Bj}^* \approx \frac{1}{N} \delta_{AB} \delta_{ij}$$

Normalization  $\text{Tr}(\hat{S}_{\text{RAD}})_{AB} = 1$

$$\Rightarrow \int dV V_{Ai} V_{Ai}^* = 1 \Rightarrow \frac{1}{N} \sum_A \delta_{AA} \sum_i \delta_{ii} = \frac{mn}{N} \Rightarrow N = mn$$

$V \rightarrow V/\sqrt{mn}$

$$\int dN V_{Ai} V_{Bj}^* V_{Cl} V_{Dl}^* \propto (\delta_{AB} \delta_{ij} \delta_{CD} \delta_{kl} + \delta_{AD} \delta_{il} \delta_{BC} \delta_{jk})$$

$$\text{Tr} \hat{S}_{\text{RAD}}^2 \approx \int dV \sum_{ij, A, B} V_{Ai} V_{iB}^* V_{Bj} V_{jA}^*$$

$$\approx \frac{1}{(mn)^2} \sum_{ij, A, B} (\delta_{ij} \delta_{ji} \delta_{AA} \delta_{BB} + \delta_{ii} \delta_{jj} \delta_{AB} \delta_{BA})$$

$$= \frac{m^2 n + n^2 m}{(mn)^2} = \frac{m+n}{mn}$$

$$P_{\text{RAD}} = \frac{e^{S_{\text{BH}}} + e^{S_{\text{RAD}}}}{e^{S_{\text{BH}}} e^{S_{\text{RAD}}}}$$

Recall:  $e^{S_{RAD}}$  ~ size of RAD Hilbert space  
 $e^{S_{BH}}$  ~ size of BH Hilbert space

Use  $N_{RAD}$  - average number of emitted  
 Hawking particles as a "time-variable"

So  $S_{RAD} \sim N_{RAD}$

$$S_{BH} = S_{\text{Bekenstein-Hawking}} \sim S_{BH}(0) - N_{RAD}$$

Define "the Page time" when  $m=n$   $S_{BH} = S_{RAD}$   
 mid-point of evaporation (in terms of entropy)

$$N_{RAD} < N_{\text{page}} \quad P_{RAD} \sim \frac{e^{S_{BH}}}{e^{S_{BH}} e^{S_{RAD}}} = e^{-N_{RAD}}$$

$$(H_2)_{RAD} \approx N_{RAD}$$

so, the state of the radiation is  
 essentially thermal.

$$N_{RAD} > N_{page}$$

$$P_{RAD} \approx \frac{e^{S_{RAD}}}{e^{S_{BH}} e^{S_{END}}} = e^{-S_{BH}}$$

$$= e^{-(S_{BH(0)} - N_{RAD})}$$

$$(A_2)_{RAD} \approx S_{BH(0)} - N_{RAD}$$

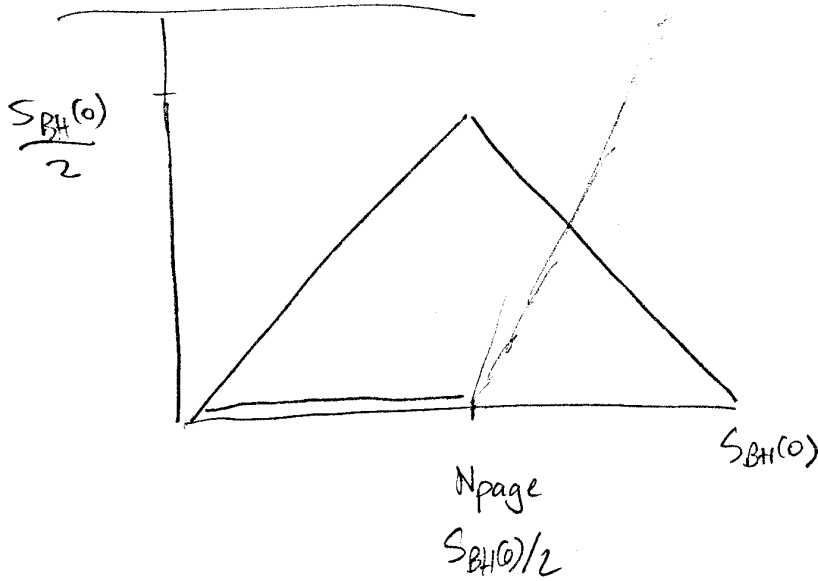
The radiation starts to purify with rate of order 1,

$$I_{RAD} = N_{RAD} - (A_2)_{RAD} = \begin{cases} 0 & N_{RAD} < N_{page} \\ 2N_{RAD} - S_{BH(0)} & N_{RAD} > N_{page} \end{cases}$$

$S_{BH}(0)$

11.

# Page curve



Hayden-Preskill  $\frac{dI}{dN} \sim \begin{cases} 0 & N < N_{page} \\ 1 & N > N_{page} \end{cases}$

Throw a quantum system into a BH  $\leftrightarrow$  Information mirror

Problem 3: Calculate numerically the Page curve for unitary random matrices

Using Mathematica (or any other program) generate random unitary matrices. Then calculate the Renyi and Von-Neumann entropies of the reduced density matrix as a function of its size.