

Minimal Black Holes and Species Thermodynamics

DIETER LÜST (LMU, MPP)

Joint work with
Niccolo Cribiori and Carmine Montella, arXiv:2305.10489,
and with Ivano Basile, N. Cribiori, C. Montella, to appear

Harvard University, 2nd. November 2023

Outline :

I) Introduction: species scale and entropy

II) Minimal BHs as species bound states

III) Minimal charged BHs

IV) String constructions

V) Summary

Introduction:

Apparently Quantum Gravity is of holographic nature and also possesses thermodynamic and entropic properties.

Universal relations for Schwarzschild black holes:

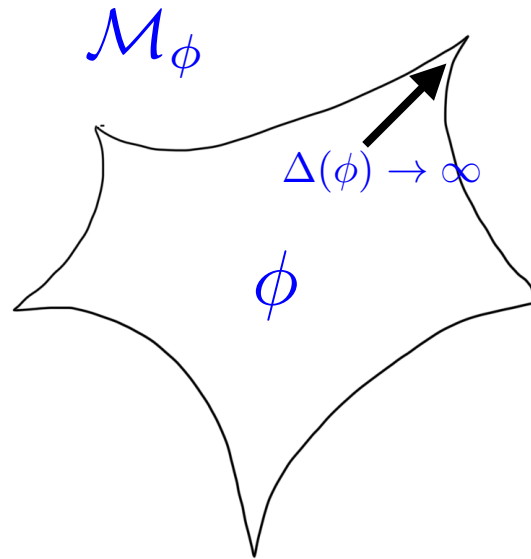
- BH entropy of black hole geometries \longrightarrow area law:

$$\mathcal{S}_{BH} \simeq (R_{BH})^{d-2} M_P^{d-2}$$

- Thermal black hole decay \longrightarrow Hawking temperature:

$$T_{BH} \simeq (R_{BH})^{-1}$$

Quantum Gravity moduli space:

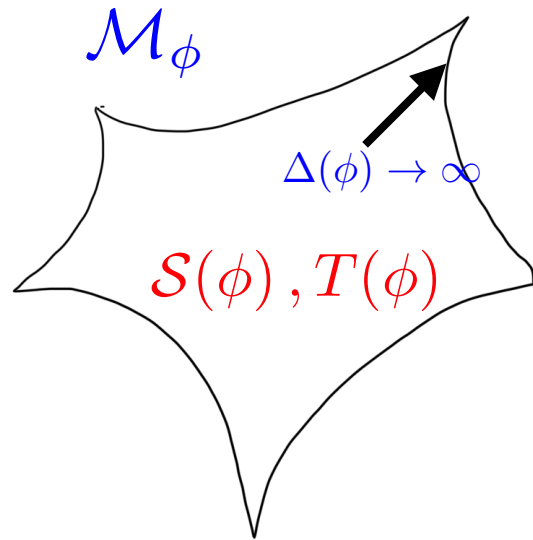


Tower of light states:

$$m_n(\phi) \simeq M_P e^{-\alpha \Delta(\phi)} \rightarrow 0$$

[H. Ooguri, C. Vafa (2006)]

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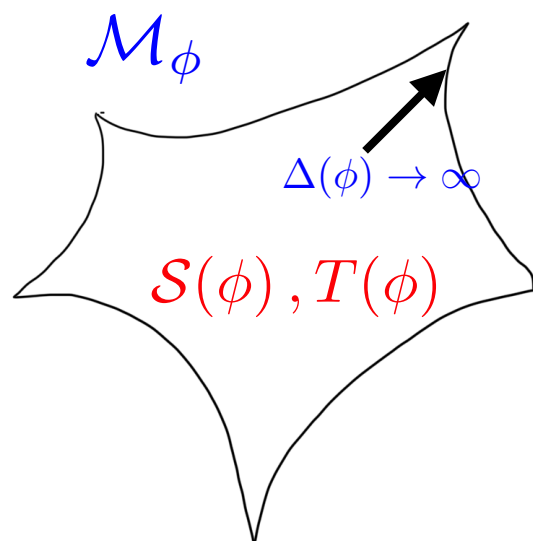
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$$\mathcal{S}(\phi), T(\phi) \rightarrow ?$$

Can we associate an entropy and a temperature to each point in moduli space?

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$$S(\phi), T(\phi) \rightarrow ?$$

Can we associate an entropy and a temperature to each point in moduli space?

Our proposal: The entropy is given in terms of the entropy of certain particle species.

What is the entropy of particles species in quantum gravity ?

Is it extensive (volume law) or does it follow an area law ?

Does it follow universal thermodynamic relations ?

Species Scale :

UV cut-off in an EFT coupled to (quantum) gravity:

Λ_{UV} \longleftrightarrow „shortest“ possible length in EFT

\longleftrightarrow Scale where gravity becomes strongly coupled.

This scale is related to the formation of black holes.

Species Scale:

Species scale: $\Lambda_{UV} \equiv \Lambda_{sp} = L_{sp}^{-1}$

$$\Lambda_{sp} \simeq \frac{M_P}{(N_{sp})^{\frac{1}{d-2}}} \iff N_{sp} = (L_{sp})^{d-2} M_P^{d-2}$$

[G. Dvali (2007)]

(Up to log-corrections)

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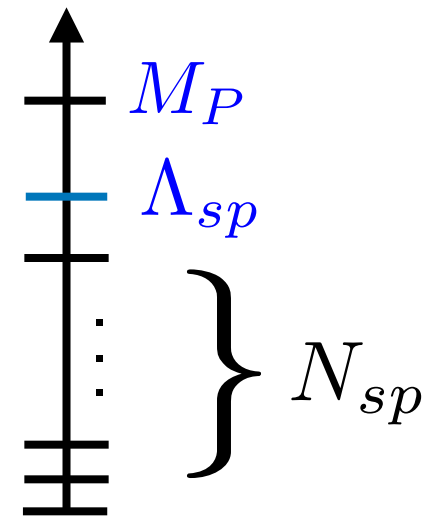
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N_{sp} : Number of particles below Λ_{sp} .



.... it depends on moduli fields: $N_{sp} = N_{sp}(\phi)$

Species Scale - three possible definitions:

- Perturbative: scale where the inverse one-loop gravity propagator becomes small.

$$G^{-1}(p^2) \simeq p^2 \left(1 - N_{sp}^2 \left(\frac{p^2}{M_p^2} \right)^{\frac{d-2}{2}} \log \left(-\frac{p^2}{\mu^2} \right) \right) \Rightarrow \Lambda_{sp} = \frac{M_P}{N_{sp}^{\frac{1}{d-2}}}$$

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- Scale where higher curvature operators in EFT become relevant.

$$S_{eff} \simeq M_P^{d-2} \int d^d x \sqrt{-g} \left(R - \frac{1}{2} (\partial\phi)^2 + \sum_{n=2}^{\infty} a_n \frac{\mathcal{O}_{2n}(R)}{\Lambda_{sp}^{2n-2}} \right)$$

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[D. van de Heisteeg, C. Vafa, M. Wiesner, D. Wu (2022)]

- Schwarzschild radius of minimal black hole.

[G. Dvali, M. Redi (2009)]

[N. Cribiori, D.L., G. Staudt (2022)]

$$\Lambda_{sp} \simeq R_{BH,min}^{-1}$$

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We will require that species entropy and species temperature follow the rules of black hole thermodynamics.

Properties of species scale and species entropy:

Discussed recently by many authors: L. Anchordoqui, I. Antoniadis, R. Blumenhagen, J. Calderon-Infante, A. Castellano, Cribiori, M. Delgado, A. Gligovic, A. Herraiez, L. Ibanez, C. Montella, D.L., S. Lüst, A. Paraskevopoulo, G. Staudt, A. Uranga, C. Vafa, M. Wiesner, D. Wu, ..

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- $\Lambda_{sp} \leq 1 \quad \longleftrightarrow \quad \mathcal{S}_{sp} \geq 1$
- Asymptotic behaviour at the boundary of moduli space:

[D. van de Heisteeg, C.Vafa, M.Wiesner (2023)]

$$\Lambda_{sp} = e^{-\gamma\phi}, \quad \gamma_{KK} = \sqrt{\frac{D-d}{(D-2)(d-2)}}, \quad \gamma_{\text{string}} = \sqrt{\frac{1}{d-2}}$$

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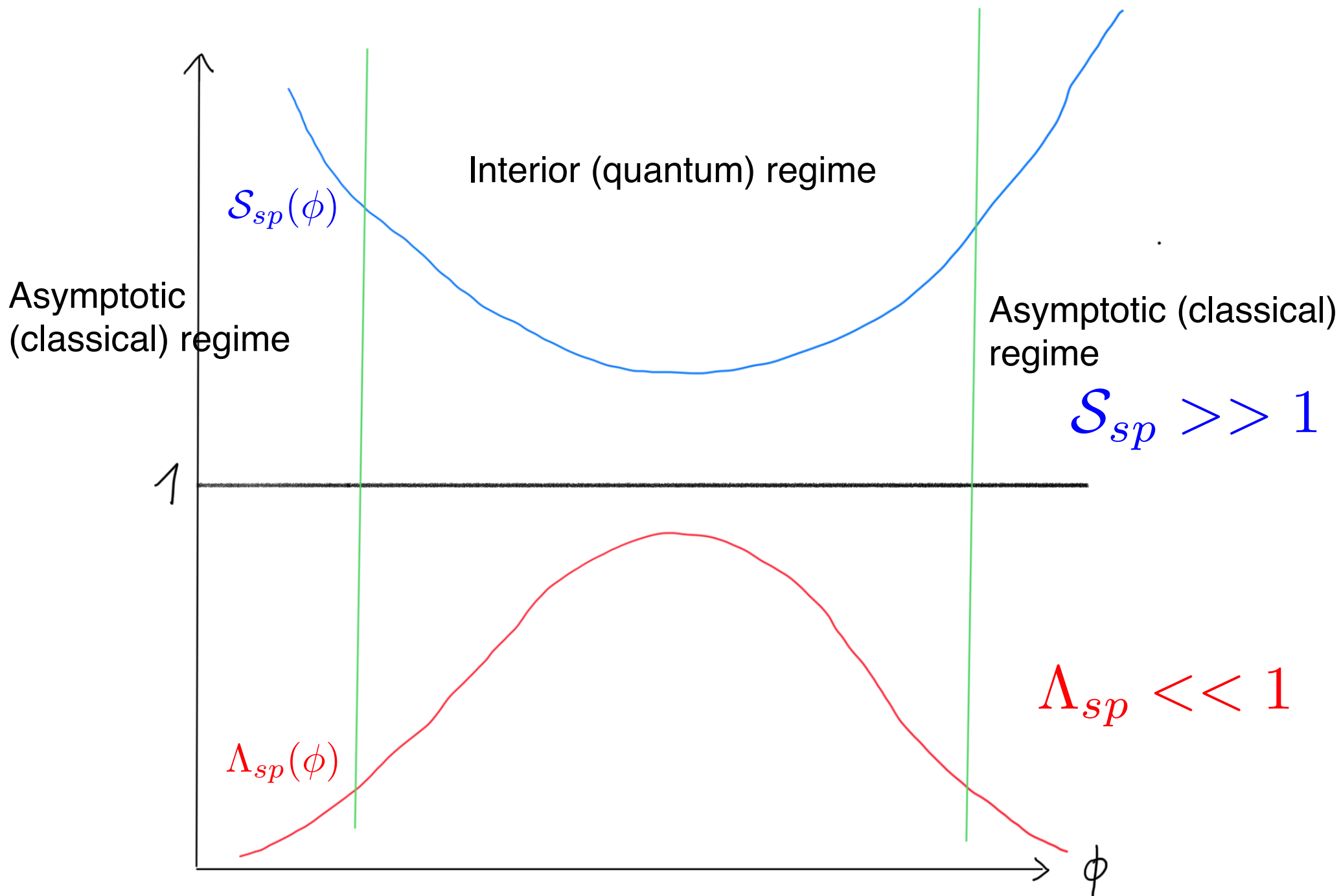
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- They are globally defined over the entire moduli space \longleftrightarrow modular functions.

[N. Cribiori, D.L. (2023); D. van de Heisteeg, C.Vafa, M.Wiesner, D.Wu (2022/23)]



This picture is closely related to the BH entropy conjecture:

In the limit $\mathcal{S}_{BH} \rightarrow \infty$ there is a tower of light states with masses

$$m = \left(\frac{1}{\mathcal{S}_{BH}} \right)^{\gamma}, \quad \gamma > 0$$

[Q. Bonnefoy, L. Ciambelli, S. Lüst, D.L. (2019);
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Smallest possible BH with minimal entropy \longrightarrow tower is given in terms of species
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Large species entropy limit:

$$\mathcal{S}_{sp} \rightarrow \infty \quad \Rightarrow \quad m_{sp} = \left(\frac{1}{\mathcal{S}_{sp}} \right)^{\gamma} \rightarrow 0$$

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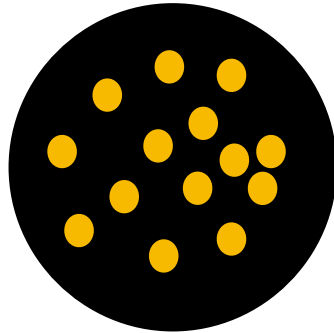
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Minimal black holes as species bound states:

N-portrait picture for black holes: bound state of N_g gravitons: ●

$$\alpha_g = \frac{L_P^2}{R^2} = N_g^{-1}$$



[G. Dvali, C. Gomez (2011)]

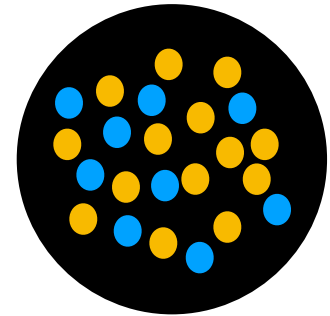
- BH Entropy: $\mathcal{S}_{BH} \simeq N_g$
- BH Radius: $R_{BH} \simeq \sqrt{N_g} L_P$ (Wave length of gravitons)
- BH Mass: $M_{BH} \simeq E_g \simeq \sqrt{N_g} / L_P$ (Energy of gravitons)

Now introduce in addition N_{sp} massive species: ●

Assume that they are couple like gravitons (e.g. spin-2 tower of KK modes)

Black hole also contains the species particles as constituents:

[G. Dvali, C. Gomez, D.L. (2012)]

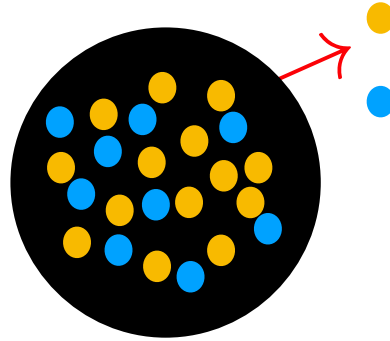


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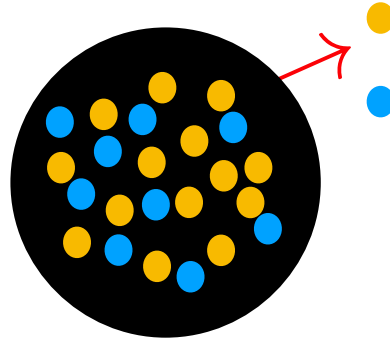
So effectively $N_g \rightarrow N_{tot} = N_g N_{sp}$

- BH Entropy: $\mathcal{S}_{BH} \simeq N_g N_{sp}$
- BH Radius: $R_{BH} \simeq \sqrt{N_g N_{sp}} L_P = \sqrt{N_g} L_{sp}$
- BH mass: $M_{BH} \simeq \sqrt{N_g N_{sp}} / L_P$

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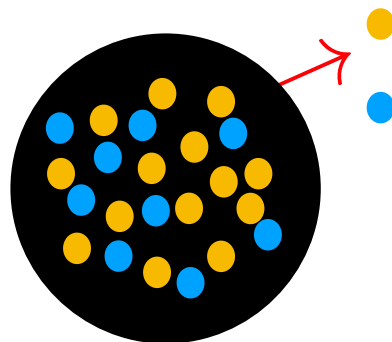


- BH Temperature: $T_{BH} \simeq 1/(\sqrt{N_g N_{sp}} L_P) = 1/(\sqrt{N_g} L_{sp})$

Semiclassical (Hawking) BH Decay Rate for large entropy:

$$\Gamma_{BH}^{th} \simeq T_{BH}$$

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Semiclassical (Hawking) BH Decay Rate for large entropy:

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- However quantum decay rate for small entropy is suppressed:

$$\Gamma_{BH}^{qm} \simeq \frac{T_{BH}}{\mathcal{S}_{BH}^n} \simeq \mathcal{S}_{BH}^{\frac{1-n(2-d)}{2-d}}$$

Mass, temperature and entropy of Schwarzschild-like BHs satisfy the following general thermodynamic relations:

$$\mathcal{S}_{BH} \simeq (R_{BH})^{d-2} M_P^{d-2} \simeq (M_{BH})^{\frac{d-2}{d-3}} M_P^{\frac{2-d}{d-3}}$$

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These relations are consistent with the first law of thermodynamics:

$$dM_{BH} = T_{BH} d\mathcal{S}_{BH}$$

$$\frac{1}{T_{BH}} = \frac{\partial \mathcal{S}_{BH}}{\partial M_{BH}}$$

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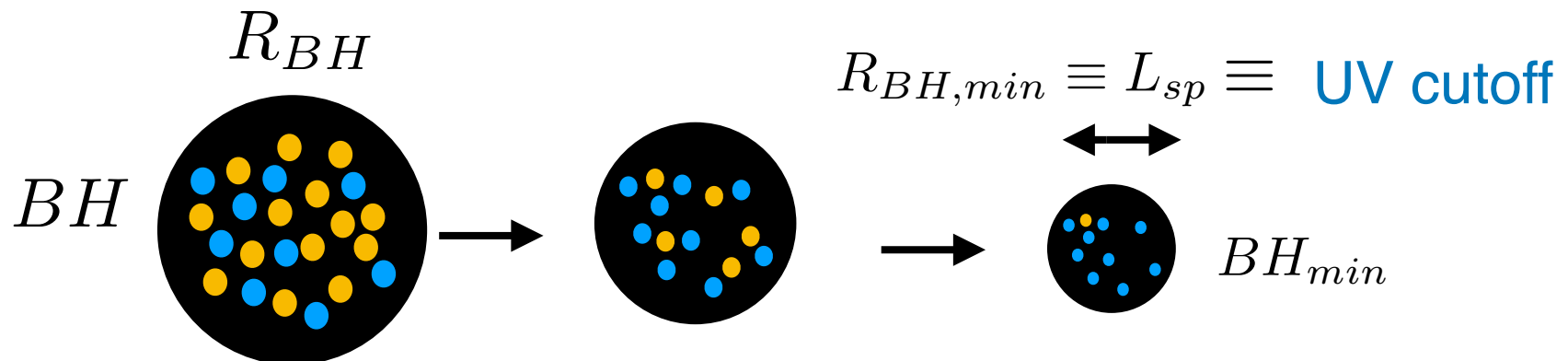
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Dual description:	Particles	- Geometry
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Certain consistency conditions must be fulfilled
for the BH - Species Correspondence !

Species as tower of particles - emergent string conjecture

Only KK towers or string excitations can be light at infinite distance.

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Species scale, i.e. species temperature: $\Lambda_{sp} \equiv T_{sp} = f(N_s)m_0$

Consistency with thermodynamics laws requires:

1st. law of species thermodynamics: $dM_{sp} = T_{sp}d\mathcal{S}_{sp}$

$$\mathcal{S}_{sp} \simeq (M_{sp})^{\frac{d-2}{d-3}}, \quad \mathcal{S}_{sp} \simeq (T_{sp})^{2-d}$$

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2nd. law of species thermodynamics:

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(This is satisfied for the asymptotic behaviour shown before.)

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So let us see which kind of towers satisfy the thermodynamic laws.

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Choose $d_n = 1 \Rightarrow N_s = N_{sp} = \mathcal{S}_{sp}$

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This is precisely the spectrum of a KK tower from p extra dimensions !

So there is a KK - black hole correspondence.

2nd Example: string tower

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Choose $f(n) = n^{1/2}$, $d_n \sim e^{\sqrt{n}}$

\implies String spectrum: $m_n = \sqrt{n} M_s$

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$$\Lambda_{sp} = m_{N_s} \simeq \sqrt{N_s}M_s$$

$$N_{sp} = \sum_{n=0}^{N_s} d_n \sim \sum_{n=0}^{N_s} e^{\sqrt{n}} \simeq \sqrt{N_s} e^{\sqrt{N_s}}$$

$$\Lambda_{sp} \simeq M_s \log \frac{M_P}{M_s}$$

Total species mass for string tower:

$$M_{sp} = M_s \sum_{n=1}^{N_s} e^{\sqrt{n}} \sqrt{n} = \mathcal{S}_{sp}^{\frac{3-d}{2-d}} \quad \checkmark$$

This is in agreement with the 1st law of species thermodynamics.

Question: does the 1st. law imply the string tower, i.e.

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[See also: G. Horowitz, J. Polchinski (1996)]

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Can we completely derive the emergent string conjecture from a bottom up black hole argument?

Outline :

I) Introduction: species scale and entropy

II) Minimal BHs as species bound states

III) Minimal charged BHs

IV) String constructions

V) Summary

Minimal charged BHs and species :

Multi parameter family of metrics: M, Q, P

Eg. Reissner-Nordström black hole: $M_{BH}^2 \geq Q_{BH}^2$

Non-extremality parameter: $c = \sqrt{M_{BH}^2 - Q_{BH}^2}$

$$dM_{BH} = T_{BH}d\mathcal{S}_{BH} + \Phi dQ_{BH}$$

$$\Rightarrow d(M_{BH} - \Phi Q_{BH}) = T_{BH}dS - Q_{BH}d\Phi = dc$$

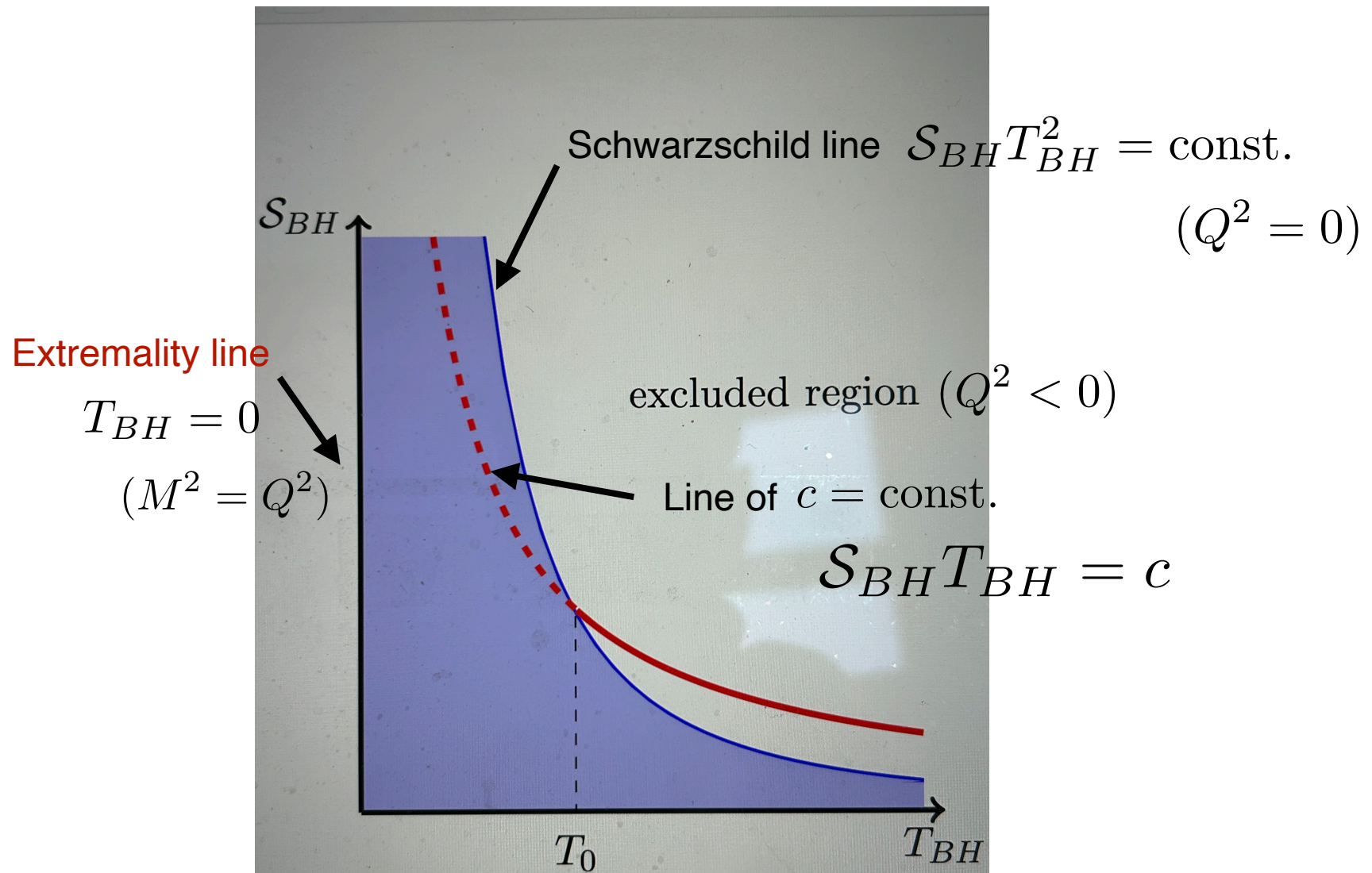
$$T_{BH} = \left. \frac{\partial c}{\partial \mathcal{S}_{BH}} \right|_{\Phi}, \quad Q_{BH} = \left. \frac{\partial c}{\partial \Phi} \right|_{\mathcal{S}_{BH}}$$

$$T_{BH} \simeq \frac{c}{S_{BH}}$$

Phase diagram of RN black hole:

$$\mathcal{S}_{BH} \simeq \frac{R_{BH,+}^2}{L_P^2}$$

$$T_{BH} \simeq \frac{c}{\mathcal{S}_{BH}}$$



Non-extremal minimal BH as species bound state:

Consider a charged tower with $(m_n, q_n) \quad n = 1, \dots, N_{sp}$

Lattice weak gravity conjecture:
entire tower is super-extremal: $|q_n| \geq m_n \quad \forall n$

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Minimal BH:

[B. Heidenreich, M. Reece, T. Rudelius (2016)]

$$Q_{BH,min} := Q_{sp} = \sum_{n=1}^{N_{sp}} q_n, \quad M_{BH,min} := M_{sp} = \sum_{n=1}^{N_{sp}} m_n$$

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Higher order corrections in EFT: $\alpha_1 (F_{\mu\nu} F^{\mu\nu})^2, \quad \alpha_3 F_{\mu\nu} F_{\rho\sigma} W^{\mu\nu\rho\sigma}$

Modified extremality condition:

[N. Arkani-Hamed, L. Motl, A. Nicolis, C. Vafa (2006);
Y. Hamada, T. Noumi, G. Shiu (2019)]

$$c^2 = M_{BH,min}^2 - Q_{BH,min}^2 + 2\alpha \geq 0$$
$$\alpha = \frac{2}{5} (4\pi)^2 (2\alpha_1 - \alpha_3)$$

Example: charged KK tower:

Tower of KK masses: $m_n = n/R$ ($k = 1 \dots, N_{sp}$)

Associated KK charges: $q_n = (n + \beta)/R$ $\beta \geq 0$

Total species mass:

$$M_{sp} = \sum_{n=1}^{N_{sp}} m_n = (\mathcal{S}_{sp})^{\frac{d-3}{d-2}} (1 + 2(\mathcal{S}_{sp})^{-1}) = \Lambda_{sp}^{3-d} + 2\Lambda_{sp}$$

Total species charge:

$$Q_{sp} = \sum_{n=1}^{N_{sp}} q_n = (\mathcal{S}_{sp})^{\frac{d-3}{d-2}} (1 + (2 + \beta)(\mathcal{S}_{sp})^{-1}) = \Lambda_{sp}^{3-d} + (2 + \beta)\Lambda_{sp}$$

Extremality parameter: $c = \sqrt{2\alpha - \beta} (\mathcal{S}_{sp})^{\frac{d/2-2}{d-2}}$

$$2\alpha - \beta \geq 0$$

Species temperature:

$$T_{sp} \simeq \frac{\sqrt{2\alpha - \beta}}{(\mathcal{S}_{sp})^{\frac{d}{2d-4}}} = \sqrt{2\alpha - \beta} (\Lambda_{sp})^{d/2}$$

It agrees with thermodynamic relations for charged BHs in d dimensions.

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String constructions:

String constructions with broken susy what kind of towers are possible

Scherk-Schwarz like constructions

	Particle	Light	Additive shift	Parametrically small
F1 (heterotic)	✗	✓	✗	✗
D1 (orientifold)	✗	✗	✗	✗
NS5 (heterotic)	✗	✗	✗	✗
D1 (SS_{KK})	✗	✗	✓	✓
wrapped D1 (SS_{KK})	✓	✗	✓	✓
wrapped D1 (SS_{wind})	✓	✓	✓	✓

Table 1: Comparison of various candidates for near-extremal species black holes (or branes) in non-SUSY string constructions. Our ideal target are particle-like light species with a parametrically small additive shift in mass relative to the charge. The most promising candidate are wrapped D1-branes on small circles in Scherk-Schwarz settings, which for type IIB can be interpreted in terms of M-theory SUSY breaking. Scherk-Schwarz vacua have soft SUSY breaking, but also dynamical tadpoles.

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- The laws of species thermodynamics and minimal black holes shed new light on swampland conjectures.
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 \implies Emergent string conjecture.

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- Cosmology

The production and the decay of species is determined by their temperature - nice agreement with dark matter in dark dimensions

Thank you !