

# $E_{10}$ and gravitational duality

Marc Henneaux

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# Introduction

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### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

Electric-magnetic duality is a fascinating symmetry.

Originally considered in the context of electromagnetism, it also plays a key role in extended supergravity models, where the duality group (acting on the vector fields and the scalars) is enlarged to  $U(n)$  or  $Sp(2n, \mathbb{R})$ .

# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Gravitational electric-magnetic duality (acting on the graviton) is also very intriguing.

It is thought to be relevant to the so-called problem of "hidden symmetries".

# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

## Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

## Introduction

Electromagnetism  
in  $D = 4$

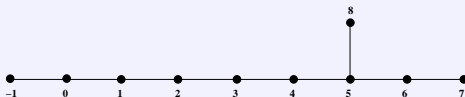
Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

It has indeed been conjectured 10-15 years ago that the  
infinite-dimensional Kac-Moody algebra  $E_{10}$



# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

## Introduction

Electromagnetism  
in  $D = 4$

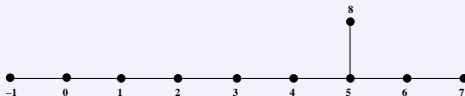
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duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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infinite-dimensional Kac-Moody algebra  $E_{10}$



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# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

## Introduction

Electromagnetism  
in  $D = 4$

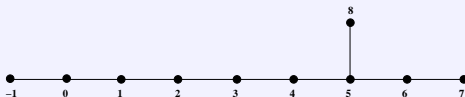
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duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

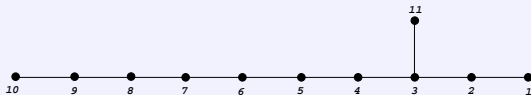
Duality invariance  
and spacetime  
covariance

Conclusions

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infinite-dimensional Kac-Moody algebra  $E_{10}$



or  $E_{11}$



# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

## Introduction

Electromagnetism  
in  $D = 4$

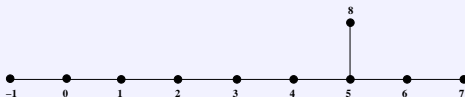
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duality in  $D = 4$   
(linearized  
gravity)

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self-duality

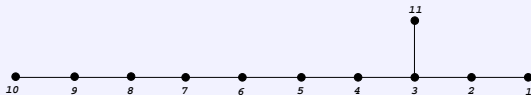
Duality invariance  
and spacetime  
covariance

Conclusions

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infinite-dimensional Kac-Moody algebra  $E_{10}$



or  $E_{11}$



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# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

## Introduction

Electromagnetism  
in  $D = 4$

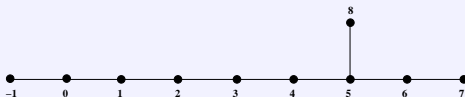
Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

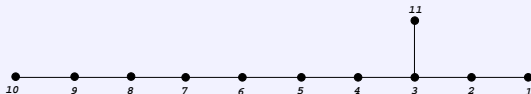
Duality invariance  
and spacetime  
covariance

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It has indeed been conjectured 10-15 years ago that the infinite-dimensional Kac-Moody algebra  $E_{10}$



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# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

## Introduction

Electromagnetism  
in  $D = 4$

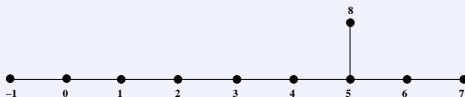
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duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

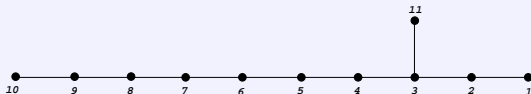
Duality invariance  
and spacetime  
covariance

Conclusions

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or  $E_{11}$



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appropriate extension of it.

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# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

## Introduction

Electromagnetism  
in  $D = 4$

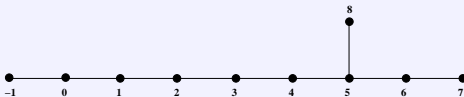
Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

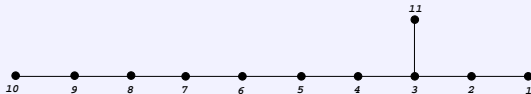
Duality invariance  
and spacetime  
covariance

Conclusions

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This might be due to an insufficient understanding of duality.

# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions



# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Whenever a (dynamical)  $p$ -form gauge field appears, its dual  $D - p - 2$ -form gauge field also appears.

# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Similarly, the graviton and its dual, described by a field with Young symmetry

# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

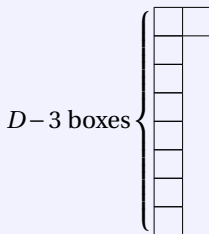
Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

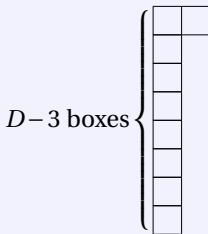
Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Introduction

## $E_10$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Or should  $E_{10}$  describe only “on-shell symmetries” ?

If  $E_{10}$  is a symmetry of the action, what form should we expect the action to take ?

# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

The purpose of this talk is to :

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# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

## $E_{10}$ and gravitational duality

Marc Henneaux

### Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Introduction

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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- show then that in  $D > 4$ , what generalizes duality invariance is "twisted self-duality", which puts each field and its dual on an equal footing ;
- finally conclude and mention some open questions.



# EM duality as an off-shell symmetry of the Maxwell theory in $D = 4$

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# EM duality as an off-shell symmetry of the Maxwell theory in $D = 4$

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# EM duality as an off-shell symmetry of the Maxwell theory in $D = 4$

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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$$\begin{aligned}F^{\mu\nu} &\rightarrow \cos \alpha F^{\mu\nu} - \sin \alpha *F^{\mu\nu} \\*F^{\mu\nu} &\rightarrow \sin \alpha F^{\mu\nu} + \cos \alpha *F^{\mu\nu},\end{aligned}$$

# EM duality as an off-shell symmetry of the Maxwell theory in $D = 4$

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# EM duality as an off-shell symmetry of the Maxwell theory in $D = 4$

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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or in (3 + 1)- fashion,

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# EM duality as an off-shell symmetry

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# EM duality as an off-shell symmetry

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions



# EM duality as an off-shell symmetry

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

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$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

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Are duality transformations also a symmetry of the Maxwell action?

# EM duality as an off-shell symmetry

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

These transformations are well known to leave the Maxwell equations  $dF = 0$ ,  $d^*F = 0$ , or

$$\begin{aligned} \nabla \cdot \mathbf{E} = 0, \quad \nabla \cdot \mathbf{B} = 0, \\ \frac{\partial \mathbf{E}}{\partial t} - \nabla \times \mathbf{B} = 0, \quad \frac{\partial \mathbf{B}}{\partial t} + \nabla \times \mathbf{E} = 0, \end{aligned}$$

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$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# EM duality as an off-shell symmetry

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Old result, Deser-Teitelboim 1976 - For more recent considerations, Deser-Gomberoff-Henneaux-Teitelboim 1997

# A digression : the harmonic oscillator

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# A digression : the harmonic oscillator

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# A digression : the harmonic oscillator

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# A digression : the harmonic oscillator

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Another answer is no, because the action  $S[q(t)]$  is not invariant under  $q \rightarrow q' = \cos \alpha q - \sin \alpha \dot{q}$  and  $\dot{q} \rightarrow \dot{q}' = \sin \alpha q + \cos \alpha \dot{q}$ .

# A digression : the harmonic oscillator

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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What is the correct answer and where is the catch?

# A digression : the harmonic oscillator

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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What is the correct answer and where is the catch?

The correct answer is the first one. The second answer is not even incorrect. It is nonsense.

# A digression : the harmonic oscillator (continued)

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# A digression : the harmonic oscillator (continued)

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

The second answer is nonsense because there is no transformation of  $q(t)$  (the dynamical Lagrangian variable !) that gives  $q \rightarrow q' = \cos \alpha q - \sin \alpha \dot{q}$  and  $\dot{q} \rightarrow \dot{q}' = \sin \alpha q + \cos \alpha \dot{q}$  (for general  $q(t)$ 's), since  $q \rightarrow q' = \cos \alpha q - \sin \alpha \dot{q}$  implies  $\dot{q} \rightarrow \dot{q}' = \cos \alpha \dot{q} - \sin \alpha \ddot{q}$ .

# A digression : the harmonic oscillator (continued)

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# A digression : the harmonic oscillator (continued)

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# A digression : the harmonic oscillator (continued)

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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So the question is : is there a transformation of the dynamical variable  $q(t)$  such that (i) it coincides on-shell with the given transformation ; and (ii) it leaves the action invariant.



# A digression : the harmonic oscillator (continued)

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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So the question is : is there a transformation of the dynamical variable  $q(t)$  such that (i) it coincides on-shell with the given transformation ; and (ii) it leaves the action invariant.

The answer is affirmative : it is just time translation,  $q(t) \rightarrow q'(t) = q(t - \alpha)$ , which is indeed a symmetry transformation that takes the required form on-shell.

# A digression : the harmonic oscillator (continued)

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

## A few comments

# A digression : the harmonic oscillator (continued)

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

## A few comments

Both the first-order and the second-order actions are invariant. The point has nothing to do with first-order versus second order actions. In fact both actions always share the same set of symmetries because one can view the momenta as auxiliary fields. One advantage of the first-order action is that invariance under  $SO(2)$ -phase space rotations is manifest, but this is only a practical advantage.

# A digression : the harmonic oscillator (continued)

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

## A few comments

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The (non-existent) infinitesimal transformations  $\delta q = -\epsilon \dot{q}$ ,  $\delta \dot{q} = \epsilon q$  formally leave the action invariant. If taken seriously, this result would lead to the paradox of having transformations that leave the action invariant in their infinitesimal version while not leaving it invariant in their finite version!

# A digression : the harmonic oscillator (continued)

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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There is no invariance of the theory under Lorentz transformations in the  $(q - \dot{q})$ -plane.

# Duality Transformations of the potential - The problem

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

Let us come back to the duality invariance of the Maxwell action.

# Duality Transformations of the potential - The problem

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Since the dynamical variables that are varied in the action principle are the components  $A_\mu$  of the vector potential, one needs to express the duality transformations in terms of  $A_\mu$ ,

# Duality Transformations of the potential - The problem

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality Transformations of the potential - The problem

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Furthermore, one must know these transformations off-shell since one must go off-shell to check invariance of the action.

# Duality Transformations of the potential - The problem

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality Transformations of the potential - The problem

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

Let us come back to the duality invariance of the Maxwell action.

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Furthermore, one must know these transformations off-shell since one must go off-shell to check invariance of the action.

But one encounters the following problem !

**Theorem :** There is no variation of  $A_\mu$  that yields the above duality transformations of the field strengths.

# Duality transformations of the potential - The problem

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism in  $D = 4$**

Gravitational duality in  $D = 4$  (linearized gravity)

Twisted self-duality

Duality invariance and spacetime covariance

Conclusions

# Duality transformations of the potential - The problem

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism in  $D = 4$**

Gravitational duality in  $D = 4$  (linearized gravity)

Twisted self-duality

Duality invariance and spacetime covariance

Conclusions

The proof is elementary.

# Duality transformations of the potential - The problem

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism in  $D = 4$

Gravitational duality in  $D = 4$  (linearized gravity)

Twisted self-duality

Duality invariance and spacetime covariance

Conclusions

**The proof is elementary.**

Once  $A_\mu$  is introduced,  $dF = 0$  is an identity. But  $dF' = 0$  does not hold off-shell (unless  $\alpha$  is a multiple of  $\pi$  but then  $F$  and its dual are not mixed), where  $F'$  is the new field strength  $\cos \alpha F - \sin \alpha *F$  after duality rotation. Hence there is no  $A'$  such that  $F' = dA'$ .

# Duality transformations of the potential - The problem

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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**It follows from this theorem that it is meaningless to ask whether the Maxwell action  $S[A_\mu]$  is invariant under the above duality transformations of the field strengths since there is no variation of  $A_\mu$  that yields these variations.**

# Explicit form of the duality transformation of the vector potential under em duality

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism in  $D = 4$**

Gravitational duality in  $D = 4$  (linearized gravity)

Twisted self-duality

Duality invariance and spacetime covariance

Conclusions



# Explicit form of the duality transformation of the vector potential under em duality

## $E_10$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism in  $D = 4$**

Gravitational duality in  $D = 4$  (linearized gravity)

Twisted self-duality

Duality invariance and spacetime covariance

Conclusions

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# Explicit form of the duality transformation of the vector potential under em duality

## $E_10$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism in  $D = 4$

Gravitational duality in  $D = 4$  (linearized gravity)

Twisted self-duality

Duality invariance and spacetime covariance

Conclusions

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As we just argued, this is the best one can hope for.

# Explicit form of the duality transformation of the vector potential under em duality

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism in  $D = 4$**

Gravitational duality in  $D = 4$  (linearized gravity)

Twisted self-duality

Duality invariance and spacetime covariance

Conclusions

# Explicit form of the duality transformation of the vector potential under em duality

Going from the transformations of the field strength to the transformations of the vector potential requires integrations and introduces non-local terms.

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Explicit form of the duality transformation of the vector potential under em duality

$E_10$  and  
gravitational  
duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

Going from the transformations of the field strength to the transformations of the vector potential requires integrations and introduces non-local terms.

One chooses the duality transformations of the vector potential such that these non-local terms are non-local only in space, where the inverse Laplacian  $\Delta^{-1}$  can be given a meaning. Terms non-local in time (and  $\square^{-1}$ ) are much more tricky. One can also use the gauge ambiguity in the definition of  $\delta A_\mu$  in such a way that  $\delta A_0 = 0$ .

# Explicit form of the duality transformation of the vector potential under em duality

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Explicit form of the duality transformation of the vector potential under em duality

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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It implies  $\delta B^i = -\epsilon E^i - \epsilon \Delta^{-1} (\partial^i \partial^j F_{0j}) = -\epsilon E^i - \epsilon \Delta^{-1} (\partial^i \partial^\mu F_{0\mu})$ , i.e.,  $\delta B^i = -\epsilon E^i$  on-shell.

# Explicit form of the duality transformation of the vector potential under em duality

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Similarly,  $\delta E_i = -\delta \dot{A}_i = \epsilon B_i - \epsilon \Delta^{-1} (\epsilon_{ijk} \partial^j \partial_\mu F^{\mu k})$ .



# Duality invariance of the action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Duality invariance of the action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Indeed, one finds

$$\delta \left( \frac{1}{2} \int d^3x E^2 \right) = \frac{d}{dt} \left( -\epsilon \frac{1}{2} \int d^3x E_i \epsilon^{ijk} \Delta^{-1} (\partial_j E_k) \right)$$

# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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and

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# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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so that  $\delta S = \delta \int dt L = 0$  (with  $L = \frac{1}{2} \int d^3x (E^2 - B^2)$ ).

# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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so that  $\delta S = \delta \int dt L = 0$  (with  $L = \frac{1}{2} \int d^3x (E^2 - B^2)$ ).

It is therefore a genuine Noether symmetry (with Noether charge etc).

# Duality invariance of the action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

Duality invariance of the action is manifest if one goes to the first-order form and introduces a second vector-potential by solving Gauss' constraint  $\nabla \cdot \mathbf{E} = 0$ .



# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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If, besides the standard "magnetic" vector potential defined through,

$$\vec{B} \equiv \vec{B}_1 = \vec{\nabla} \times \vec{A}_1,$$

one introduces an additional vector potential  $\vec{A}_2$  through,

$$\vec{E} \equiv \vec{B}_2 = \vec{\nabla} \times \vec{A}_2,$$

one may rewrite the standard Maxwell action in terms of the two potentials  $A^a$  as

$$S = \frac{1}{2} \int dx^0 d^3x \left( \epsilon_{ab} \vec{B}^a \cdot \dot{\vec{A}}^b - \delta_{ab} \vec{B}^a \cdot \vec{B}^b \right).$$

Here,  $\epsilon_{ab}$  is given by  $\epsilon_{ab} = -\epsilon_{ba}$ ,  $\epsilon_{12} = +1$ .

# Duality invariance of the action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Duality invariance of the action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

The action is invariant under rotations in the  $(1, 2)$  plane of the vector potentials ("electric-magnetic duality rotations") because  $\epsilon_{ab}$  and  $\delta_{ab}$  are invariant tensors.

# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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The action is also invariant under the gauge transformations,

$$\vec{A}^a \longrightarrow \vec{A}^a + \vec{\nabla} \Lambda^a.$$

# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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**To conclude : the "proof" using the standard form of the electromagnetic duality transformations that the second order Maxwell action  $S[A_\mu] = -\frac{1}{4} \int d^4x F_{\mu\nu} F^{\mu\nu}$  is not invariant under duality transformations – and thus that duality is only an "on-shell symmetry" – is incorrect because it is based on a form of the duality transformations that is inconsistent with the existence of the dynamical variable  $A_\mu$ . A consistent set of transformations leaves the action invariant.**

# Duality invariance of the action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

**Electromagnetism  
in  $D = 4$**

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

The introduction of the second potential makes the formalism local.

# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

The introduction of the second potential makes the formalism local.

The analysis can be extended to several abelian vector fields ( $U(n)$ -duality invariance), as well as to vector fields appropriately coupled to scalar fields ( $Sp(n, \mathbb{R})$ -duality invariance), with the same conclusions.



# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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The duality transformations of the field strengths are given by the standard ones plus correction terms that vanish on-shell but are necessary in order to have  $\delta F^I = d\delta A^I$ . These correction terms are non-covariant and non-local in space. They are present whenever the transformations mix electric and magnetic fields.

# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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One can go to a first-order formulation where the non-localities disappear and duality is manifest. (Bunster-Henneaux 2011)

# Duality invariance of the action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

The introduction of the second potential makes the formalism local.

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One can go to a first-order formulation where the non-localities disappear and duality is manifest. (Bunster-Henneaux 2011)

In this formulation, Poincaré invariance is not manifest, however. More on this later.

# Duality invariance of the Einstein equations

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

**Gravitational  
duality in  $D = 4$   
(linearized  
gravity)**

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Duality invariance of the Einstein equations

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

The Riemann tensor

$$R_{\lambda\mu\rho\sigma} = -\frac{1}{2} (\partial_\lambda \partial_\rho h_{\mu\sigma} - \partial_\mu \partial_\rho h_{\lambda\sigma} - \partial_\lambda \partial_\sigma h_{\mu\rho} + \partial_\mu \partial_\sigma h_{\lambda\rho})$$

fulfills the identity

$$R_{\lambda[\mu\rho\sigma]} = 0.$$

# Duality invariance of the Einstein equations

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance of the Einstein equations

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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The Einstein equations are  $R_{\mu\nu} = 0$ .

This implies that the dual Riemann tensor

$$*R_{\lambda\mu\rho\sigma} = \frac{1}{2} \epsilon_{\lambda\mu\alpha\beta} R^{\alpha\beta}{}_{\rho\sigma}$$

# Duality invariance of the Einstein equations

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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fulfills the identity

$$R_{\lambda[\mu\rho\sigma]} = 0.$$

The Einstein equations are  $R_{\mu\nu} = 0$ .

This implies that the dual Riemann tensor

$${}^* R_{\lambda\mu\rho\sigma} = \frac{1}{2} \epsilon_{\lambda\mu\alpha\beta} R^{\alpha\beta}{}_{\rho\sigma}$$

also fulfills

$${}^* R_{\lambda[\mu\rho\sigma]} = 0, \quad {}^* R_{\mu\nu} = 0$$



# Duality invariance of the Einstein equations

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

The Riemann tensor

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also fulfills

$${}^* R_{\lambda[\mu\rho\sigma]} = 0, \quad {}^* R_{\mu\nu} = 0$$

and conversely.

# Duality invariance of the Einstein equations

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

**Gravitational  
duality in  $D = 4$   
(linearized  
gravity)**

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Duality invariance of the Einstein equations

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

**Gravitational  
duality in  $D = 4$   
(linearized  
gravity)**

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

It follows that the Einstein equations are invariant under the duality rotations

# Duality invariance of the Einstein equations

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

**Gravitational  
duality in  $D = 4$   
(linearized  
gravity)**

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

It follows that the Einstein equations are invariant under the duality rotations

$$R \rightarrow \cos \alpha R - \sin \alpha {}^*R$$

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# Duality invariance of the Einstein equations

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance of the Einstein equations

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance of the Einstein equations

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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where  $\mathcal{E}^{ij}$  and  $\mathcal{B}^{ij}$  are the electric and magnetic components of the Riemann tensor, respectively.

# Duality invariance of the Einstein equations

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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$${}^*R \rightarrow \sin \alpha R + \cos \alpha {}^*R,$$

or in (3 + 1)- fashion,

$$\mathcal{E}^{ij} \rightarrow \cos \alpha \mathcal{E}^{ij} - \sin \alpha \mathcal{B}^{ij}$$

$$\mathcal{B}^{ij} \rightarrow \sin \alpha \mathcal{E}^{ij} + \cos \alpha \mathcal{B}^{ij}$$

where  $\mathcal{E}^{ij}$  and  $\mathcal{B}^{ij}$  are the electric and magnetic components of the Riemann tensor, respectively.

This transformation rotates the Schwarzschild mass into the Taub-NUT parameter  $N$ .



# Duality invariance of the Pauli-Fierz action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

**Gravitational  
duality in  $D = 4$   
(linearized  
gravity)**

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

Is this also a symmetry of the Pauli-Fierz action?

$$S[h_{\mu\nu}] = -\frac{1}{4} \int d^4x [\partial^\rho h^{\mu\nu} \partial_\rho h_{\mu\nu} - 2\partial_\mu h^{\mu\nu} \partial_\rho h^\rho_\nu + 2\partial^\mu h \partial^\nu h_{\mu\nu} - \partial^\mu h \partial_\mu h].$$

# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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It is obtained by starting from the first-order (Hamiltonian) action and solving the constraints.

# Duality invariance of the Pauli-Fierz action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

**Gravitational  
duality in  $D = 4$   
(linearized  
gravity)**

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Duality invariance of the Pauli-Fierz action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

**Gravitational  
duality in  $D = 4$   
(linearized  
gravity)**

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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For instance, the momentum constraint  $\partial_i \pi^{ij} = 0$  is solved by

$$\pi^{ij} = \epsilon^{ipq} \epsilon^{jrs} \partial_p \partial_r Z_{qs}^1.$$

# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Both prepotentials  $Z_{ij}^a$  are symmetric tensors (Young symmetry type  $\square \square$ ).

Both are invariant under

$$\delta Z_{ij}^a = \partial_i \xi_j^a + \partial_j \xi_i^a + 2\epsilon^a \delta_{ij}$$

# Duality invariance of the Pauli-Fierz action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

**Gravitational  
duality in  $D = 4$   
(linearized  
gravity)**

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Duality invariance of the Pauli-Fierz action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

**Gravitational  
duality in  $D = 4$   
(linearized  
gravity)**

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

In terms of the prepotentials, the action reads

# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

In terms of the prepotentials, the action reads

$$S[Z_{mn}^a] = \int dt \left[ -2 \int d^3x \epsilon^{ab} D_a^{ij} \dot{Z}_{bij} - H \right]$$

# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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and where the Hamiltonian is given by

$$H = \int d^3x \left( 4R_{ij}^a R^{bij} - \frac{3}{2} R^a R^b \right) \delta_{ab}.$$

Here,  $R_{ij}^a$  is the Ricci tensor constructed out of the prepotential  $Z_{ij}^a$ .

# Duality invariance of the Pauli-Fierz action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

**Gravitational  
duality in  $D = 4$   
(linearized  
gravity)**

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

# Duality invariance of the Pauli-Fierz action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

**Gravitational  
duality in  $D = 4$   
(linearized  
gravity)**

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

The action is manifestly invariant under duality rotations of the prepotentials.

# Duality invariance of the Pauli-Fierz action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Invariance under the gauge symmetries of the prepotentials is also immediate,

# Duality invariance of the Pauli-Fierz action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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but one loses manifest space-time covariance.

# Duality invariance of the Pauli-Fierz action

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Just as for the Maxwell theory, there is a tension between manifest duality invariance and manifest space-time covariance.

# Duality invariance of the Pauli-Fierz action

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Henneaux-Teitelboim 2005.



# Twisted self-duality

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

# Twisted self-duality

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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# Twisted self-duality

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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# Twisted self-duality

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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The duality-symmetric formulation is then based on the “twisted self-duality” reformulation of the theory.

# Twisted self-duality

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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**We consider here explicitly the gravitational case.**

# Twisted self-duality for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

# Twisted self-duality for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

Again, only understood for linearized gravity.

# Twisted self-duality for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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For definiteness, consider  $D = 5$ . In that case, the “dual graviton” is described by a tensor  $T_{\alpha\beta\gamma}$  of mixed symmetry type described by the Young tableau



$$T_{\alpha\beta\gamma} = T_{[\alpha\beta]\gamma}, T_{[\alpha\beta\gamma]} = 0.$$



# Twisted self-duality for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

Again, only understood for linearized gravity.

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# Twisted self-duality for gravity

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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The gauge symmetries are

$$\delta T_{\alpha_1\alpha_2\beta} = 2\partial_{[\alpha_1}\sigma_{\alpha_2]\beta} + 2\partial_{[\alpha_1}\alpha_{\alpha_2]\beta} - 2\partial_\beta\alpha_{\alpha_1\alpha_2}$$

# Twisted self-duality for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

# Twisted self-duality for gravity

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

- The gauge invariant curvature is  $E_{\alpha_1 \alpha_2 \alpha_3 \beta_1 \beta_2} = 6\partial_{[\alpha_1} T_{\alpha_2 \alpha_3][\beta_1, \beta_2]}$ ,

# Twisted self-duality for gravity

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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# Twisted self-duality for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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- The tensor  $E_{\beta_1 \beta_2 \beta_3 \rho_1 \rho_2}$  obeys the differential “Bianchi” identities  
 $\partial_{[\beta_0} E_{\beta_1 \beta_2 \beta_3] \rho_1 \rho_2} = 0$ ,  $E_{\beta_1 \beta_2 \beta_3 [\rho_1 \rho_2, \rho_3]} = 0$

# Twisted self-duality for gravity

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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# Twisted self-duality for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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- These identities imply in turn the existence of  $T_{\alpha \beta \gamma}$ .
- The equations of motion are

$$E_{\alpha_1 \alpha_2 \beta} = 0$$

for the “Ricci tensor”  $E_{\alpha_1 \alpha_2 \beta}$ .



# Twisted self-duality for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism in  $D=4$

Gravitational duality in  $D=4$  (linearized gravity)

**Twisted self-duality**

Duality invariance and spacetime covariance

Conclusions

The Einstein equations  $R_{\mu\nu} = 0$  for the Riemann tensor  $R_{\mu\nu\alpha\beta}[h]$  imply that the dual Riemann tensor  $E_{\beta_1\beta_2\beta_3\rho_1\rho_2}$ , defined by

$$\begin{aligned} E_{\beta_1\beta_2\beta_3\rho_1\rho_2} &= \frac{1}{2!} \epsilon_{\beta_1\beta_2\beta_3\alpha_1\alpha_2} R^{\alpha_1\alpha_2}_{\rho_1\rho_2} \\ R_{\alpha_1\alpha_2\rho_1\rho_2} &= -\frac{1}{3!} \epsilon_{\alpha_1\alpha_2\beta_1\beta_2\beta_3} E^{\beta_1\beta_2\beta_3}_{\rho_1\rho_2} \end{aligned}$$

is of Young symmetry type



Here,  $h_{\alpha\beta}$  is the spin-2 (Pauli-Fierz) field.

# Twisted self-duality conditions for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

Furthermore, (i) the tensor  $E_{\beta_1\beta_2\beta_3\rho_1\rho_2}$  obeys the differential identities  $\partial_{[\beta_0} E_{\beta_1\beta_2\beta_3]\rho_1\rho_2} = 0$ ,  $E_{\beta_1\beta_2\beta_3[\rho_1\rho_2,\rho_3]} = 0$  that guarantee the existence of a tensor  $T_{\alpha\beta\mu}$  such that

$$E_{\beta_1\beta_2\beta_3\rho_1\rho_2} = E_{\beta_1\beta_2\beta_3\rho_1\rho_2}[T];$$

and (ii) the field equations for the dual tensor  $T_{\alpha\beta\mu}$  are satisfied.

# Twisted self-duality conditions for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

# Twisted self-duality conditions for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

- One may therefore reformulate the gravitational field equations as twisted self-duality equations as follows.

# Twisted self-duality conditions for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

- One may therefore reformulate the gravitational field equations as twisted self-duality equations as follows.
- Let  $h_{\mu\nu}$  and  $T_{\alpha\beta\mu}$  be tensor fields of respective Young symmetry types  $\square\square$  and  $\begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array}$ , and let  $R_{\alpha_1\alpha_2\rho_1\rho_2}[h]$  and  $E_{\beta_1\beta_2\beta_3\rho_1\rho_2}[T]$  be the corresponding gauge-invariant curvatures.

# Twisted self-duality conditions for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

# Twisted self-duality conditions for gravity

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

The “twisted self-duality conditions”, which express that  $E$  is the dual of  $R$  (we drop indices)

$$R = - *E, \quad E = *R,$$

or, in matrix notations,

$$\mathfrak{R} = \mathcal{S} * \mathfrak{R},$$

with

$$\mathfrak{R} = \begin{pmatrix} R \\ E \end{pmatrix}, \quad \mathcal{S} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix},$$

# Twisted self-duality conditions for gravity

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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imply that  $h_{\mu\nu}$  and  $T_{\alpha\beta\mu}$  are both solutions of the linearized Einstein equations and the Curtright equations,

$$R_{\mu\nu} = 0, \quad E_{\mu\nu\alpha} = 0.$$



# Twisted self-duality conditions for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

# Twisted self-duality conditions for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

- This is because, as we have seen, the cyclic identity for  $E$  (respectively, for  $R$ ) implies that the Ricci tensor of  $h_{\alpha\beta}$  (respectively, of  $T_{\alpha\beta\gamma}$ ) vanishes.

# Twisted self-duality conditions for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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- The above equations are called twisted self-duality conditions for linearized gravity because if one views the curvature  $\mathfrak{R}$  as a single object, then these conditions express that this object is self-dual up to a twist, given by the matrix  $\mathcal{S}$ . The twisted self-duality equations put the graviton and its dual on an identical footing.

# Twisted self-duality conditions for gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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- One can define electric and magnetic fields for  $h_{\alpha\beta}$  and  $T_{\alpha\beta\gamma}$ . The twisted self-duality conditions are equivalent to  $\mathcal{B}_{ijrs}[T] = \mathcal{E}_{ijrs}[h]$ ,  $\mathcal{B}_{ijr}[h] = -\mathcal{E}_{ijr}[T]$ .

# Duality-symmetric formulation of gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

# Duality-symmetric formulation of gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

- One can also derive the gravitational twisted self-duality equations from a variational principle where  $h$  and  $T$  are on the same footing.

# Duality-symmetric formulation of gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality-symmetric formulation of gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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  - (i) Write the action in Hamiltonian form.



# Duality-symmetric formulation of gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance

Conclusions

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  - (ii) **Solve the constraints.**

# Duality-symmetric formulation of gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions



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(i) Write the action in Hamiltonian form.

(ii) **Solve the constraints.**

This step introduces “prepotentials”, of respective Young symmetry

type  and , which are again canonically conjugate.

# Duality-symmetric formulation of gravity

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

**Twisted  
self-duality**

Duality invariance  
and spacetime  
covariance



Conclusions

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(iii) **Insert the solution of the constraints back into the action.**

# Duality-symmetric formulation of gravity

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$



Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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This step introduces “prepotentials”, of respective Young symmetry type  and , which are again canonically conjugate.
  - (iii) **Insert the solution of the constraints back into the action.**
- The equations of motion from the resulting action are the twisted self-duality condition in non-manifestly covariant form
$$\mathcal{B}_{ijrs}[T] = \mathcal{E}_{ijrs}[h], \mathcal{B}_{ijr}[h] = -\mathcal{E}_{ijr}[T].$$

# Duality-symmetric formulation of gravity

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$



Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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- **The details can be found in Bunster-Henneaux-Hörtner 2013.**

# Duality invariance and spacetime covariance

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

**Duality invariance  
and spacetime  
covariance**

Conclusions

# Duality invariance and spacetime covariance

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

**Duality invariance  
and spacetime  
covariance**

Conclusions

- Tension between manifest duality-invariance and manifest spacetime covariance.

# Duality invariance and spacetime covariance

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

**Duality invariance  
and spacetime  
covariance**

Conclusions

- Tension between manifest duality-invariance and manifest spacetime covariance.
- **Does this tell us something?**



# Duality invariance and spacetime covariance

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

**Duality invariance  
and spacetime  
covariance**

Conclusions

- Tension between manifest duality-invariance and manifest spacetime covariance.
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- Duality invariance might be more fundamental (Bunster-Henneaux 2013).

# Duality invariance and spacetime covariance

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

**Duality invariance  
and spacetime  
covariance**

Conclusions

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# Duality invariance and spacetime covariance

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Duality invariance and spacetime covariance

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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- **The commutation relation**

$$[\mathcal{H}(x), \mathcal{H}(x')] = \delta^{ij} (\mathcal{H}_i(x') + \mathcal{H}_i(x)) \delta_{,j}(x, x')$$

is the only possibility for two conjugate transverse vectors  $\vec{E}, \vec{B}$ ,

# Duality invariance and spacetime covariance

$E_{10}$  and  
gravitational  
duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Conclusions

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

**Conclusions**

# Conclusions

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

**Conclusions**

- Electric-magnetic gravitational duality is a remarkable symmetry.

# Conclusions

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

**Conclusions**

- Electric-magnetic gravitational duality is a remarkable symmetry.
- It is an off-shell symmetry (i.e., symmetry of the action and not just of the equations of motion).



# Conclusions

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Conclusions

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Conclusions

## $E_10$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Conclusions

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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# Conclusions

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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- Duality invariance might be more fundamental.
- These results are relevant for the  $E_{10}$ -conjecture, since  $E_{10}$  has duality symmetry built in.
- The search for an  $E_{10}$ -invariant action is legitimate, but this action might not be manifestly space-time covariant.

# Conclusions

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

**Conclusions**

## INTERACTIONS?

# Conclusions

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

## INTERACTIONS?

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# Conclusions

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

## INTERACTIONS?

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# Conclusions

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

## INTERACTIONS?

- For  $p$ -forms, non-minimal couplings (as in supergravity) can be introduced without problem.
- For gravity, however, only the linearized theory has been dealt with so-far. Can one go beyond the linear level?
- Positive indications : Taub-NUT, Geroch group/ Ehlers group upon dimensional reduction, cosmological billiards.

# Conclusions

## $E_{10}$ and gravitational duality

Marc Henneaux

Introduction

Electromagnetism  
in  $D = 4$

Gravitational  
duality in  $D = 4$   
(linearized  
gravity)

Twisted  
self-duality

Duality invariance  
and spacetime  
covariance

Conclusions

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Marc Henneaux

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in  $D = 4$

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THANK YOU!