

Munich 2010 Lecture  
on Topological String Theory  
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Plan

1. Definition
2. Computation
3. Applications

## 1. Definition

Start with  $N = (2, 2)$  SCFT on  
2d world sheet  $\Sigma$ .

( e.g. sigma-model  $\Sigma \rightarrow M$   
 $M$ : Calabi-Yau  $n$ -fold )

$N = (2, 2)$  SCA :  $\frac{J}{T}, \frac{G^+}{\bar{T}}, \frac{G^-}{\bar{G}^+}, \frac{J}{\bar{J}}$  (left)  
 $\frac{J}{\bar{T}}, \frac{\bar{G}^+}{G^+}, \frac{\bar{G}^-}{G^-}, \frac{\bar{J}}{J}$  (right)

$$J(z) J(w) \sim \frac{\hat{c}}{(z-w)^2}$$

Virasoro  $c = 3\hat{c}$

For the sigma-model,  $\hat{c} = \dim_{\mathbb{Q}} M$

Topological twisting

$$T \rightarrow \tilde{T} = T + \frac{i}{2} \partial J$$



(We can also choose "-")

This changes conformal dimensions:

$$G^+ : (\frac{3}{2}, 0) \rightarrow (1, 0)$$

$$G^- : (\frac{3}{2}, 0) \rightarrow (2, 0)$$

Since  $G^+$  is of  $(1, 0)$ , it is natural to define:

$$Q_{BRST} = \oint G^+$$

$$\cdot Q_{BRST}^2 = 0$$

$$\cdot \tilde{T} = \{ Q_{BRST}, G^- \}$$

This is just as if  $G^-$  is the anti-ghost b of the bosonic string.

What does the twist mean  
for the worldsheet theory?

# The topological twist

①

Coupling of  $J$  to an  $U(1)$  gauge field

$$\int_{\Sigma} \bar{A} J$$

and setting  $\bar{A} = -\frac{i}{2} \bar{\omega}$        $\omega$ : spin connection  
(Similarly  $A = +\frac{i}{2} \omega$ )

If the EM tensor for  $\bar{A}=0$  is  $T$ ,  
the one for  $\bar{A} = -\frac{i}{2} \bar{\omega}$  is  $\tilde{T} = T + \frac{i}{2} \partial_z J$

Q : Show this.

Hint : Show  $\delta \omega_{\bar{z}} \sim g_{z\bar{z}} \partial_z \delta g^{z\bar{z}}$

• Sigma model  $\Sigma \rightarrow CY_m$

What is  $CY$  ?

- Complex : can define  $x^i$   
 $(i=1, \dots, n)$
- Kähler :  $g_{i\bar{j}} = 0, g_{i\bar{j}} = 0$   
 $g_{i\bar{j}} = \partial_i \bar{\partial}_j K$
- Ricci - flat :  $R_{i\bar{j}} = \partial_i \bar{\partial}_j \log \det g$   
 $\Downarrow = 0$   
 $\exists 1$  holomorphic  $n$ -form  $\Omega_{i_1 \dots i_n}$   
s.t.  $\det g = \Omega \bar{\Omega}$ .

Sigma - model

$$x^i : \Sigma \rightarrow CY_n$$

$$\psi^i : \text{fermion}$$

$$J = g_{ij} \bar{\psi}^i \psi^j$$

$$G^+ = g_{ij} \bar{\psi}^i \partial \bar{x}^j, G^- = g_{ij} \bar{\psi}^j \partial x^i$$

$$\bar{J}, \bar{G}^+, \bar{G}^- : \partial \rightarrow \bar{\partial}, \psi \rightarrow \bar{\psi}$$

If we twist

$$\begin{aligned}\tilde{T} &= T + \frac{1}{2} \partial J \\ \bar{\tilde{T}} &= \bar{T} + \frac{1}{2} \bar{\partial} \bar{J}\end{aligned}$$

$$G^+ : (1, 0), \quad \bar{G}^+ : (0, 1)$$

$$Q_{BRST} = \oint G^+ dz + \oint \bar{G}^+ d\bar{z}$$

$$\psi^i : (\frac{1}{2}, 0) \rightarrow (1, 0)$$

$$\bar{\psi}^i : (\frac{1}{2}, 0) \rightarrow (0, 0) \text{ : scalar.}$$

$$\begin{aligned}\delta X^i &= [\varepsilon Q_{BRST}, X^i] = \varepsilon \psi^i \\ \delta \bar{X}^i &= \varepsilon \bar{\psi}^i\end{aligned}$$

$$\delta \psi^i = \varepsilon \partial \bar{x}^i, \quad \delta \bar{\psi}^i = \varepsilon \bar{\partial} x^i$$

$BRST$  inv. configuration :  $X : \Sigma \rightarrow \mathbb{C}Y_n$   
 This is the A-model.

There is another way to twist the model.

(There are 2 more, but complex conjugate...)

$$\tilde{T} = T - \frac{i}{2} \partial J, \quad \bar{\tilde{T}} = \bar{T} + \frac{i}{2} \bar{\partial} \bar{J}.$$

$$G^- : (0, 1), \quad \bar{G}^+ : (1, 0) \\ Q_{BRST} = \oint G^- + \oint \bar{G}^+.$$

$$\psi^i : (0, 0), \quad \bar{\psi}^{\bar{i}} : (0, 0)$$

⇒ BRST transformation:

$$\delta X^i = 0, \quad \delta X^{\bar{i}} = \varepsilon (\psi^i + \bar{\psi}^{\bar{i}})$$

$$\delta \psi^i = \varepsilon \partial X^i, \quad \delta \bar{\psi}^{\bar{i}} = \varepsilon \bar{\partial} X^i$$

BRST invariant configuration:

$$X : \Sigma \rightarrow CT_n \quad \begin{array}{l} \partial X = 0 \\ \bar{\partial} X = 0 \end{array}$$

X: constant map.

This is the B-model.

Mirror pair of CY<sub>n</sub> : (M, W)

A-model on M = B-model on W.

• BRST cohomology.

Since  $\tilde{T} = \{ Q_{BRST}, * \}$ ,

we look for operators of  $\dim(0, 0)$ .

A-model :

$\omega_{ii\dots ip\bar{j}_1\dots\bar{j}_q}(x) \psi^{ii} - \psi^{ip}\bar{\psi}^{\bar{j}_1} - \bar{\psi}^{\bar{j}_q}$

$Q_{BRST}$  acts as  $d = \partial + \bar{\partial}$

$\Rightarrow \bigoplus_{p,q=0}^m H^{p,q}(M)$

$(p, q) = J, \bar{J}$  charges

B-model.

$$\text{Since } \delta X^{\bar{i}} = \varepsilon (\psi^{\bar{i}} + \bar{\psi}^{\bar{i}})$$

$$\text{define } \eta^{\bar{i}} = \psi^{\bar{i}} + \bar{\psi}^{\bar{i}}$$

$$\theta_i = g_{ij} - (\psi^j - \bar{\psi}^j)$$

$$V_{\bar{i} \dots \bar{i}_p}{}^{j_1 \dots j_q} \eta^{\bar{i}_1} \dots \eta^{\bar{i}_p} \theta_{j_1} \dots \theta_{j_q}$$

$Q_{BRST}$  acts as  $\bar{\partial}$  w/ coefficients

$\theta$ 's.

$$\Rightarrow \bigoplus_{P, q} H_{\bar{\partial}}^{(0, P)} (M, \wedge^q T^{(1, 0)} M)$$

Thus, in particular

$(M, \omega)$ : minor pair

$$H^{(P, 0)} (M) \sim H_{\bar{\partial}}^{(0, P)} (W, \wedge^P T^{(1, 0)} W)$$

- marginal deformations  
 $\Rightarrow$  moduli space.

### A-model

$$k_a \in H^{(1,1)}(M)$$

$$(a=1, \dots, h^{1,1} = \dim H^{1,1}(M))$$

Define  $\phi_a = k_a i\bar{j} \psi^i \bar{\psi}^j$

$$\left( [Q_{BRST}, \phi_a] = 0, \quad \phi_a \neq \{Q_{BRST}, *\} \right)$$

$$G_{-i} \bar{G}_{-\bar{i}} \phi_a = k_a i\bar{j} \partial X^i \bar{\partial} \bar{X}^j + \dots$$

- (1,1) form on  $\Sigma$

- $\delta_{BRST}(\ )$  = total derivative  
on  $\Sigma$

$$\sum_a t^a \int \sum G_{-i} \bar{G}_{-\bar{i}} \phi_a$$

$t^a$ : complexified Kähler moduli

To keep the action real,

we add BRST trivial piece:

$$\sum_a \bar{t}^a \int G_0^+ \bar{G}^+ \bar{\Phi}_a$$

$$\Downarrow \quad \left\{ Q_{BRST}^L, [Q_{BRST}^R, \bar{\Phi}_a] \right\}$$

$$(t^a + \bar{t}^a) \int k_{a\bar{i}\bar{j}} (\partial X^i \bar{\partial} X^j + \partial X^j \bar{\partial} X^i)$$

$$+ (t^a - \bar{t}^a) \int k_{a\bar{i}\bar{j}} ( " - " )$$

↑                      ↑                      ↑

Kähler class      B-field      worldsheet  
instanton term.

### B-model

$H_{\bar{\partial}}^{(0,1)}(M, T^{(1,0)}M) \rightarrow$  complex moduli

(analogue of the Beltrami differentials on  $\Sigma$ )

A BRST invariant amplitude  
 may depend on  $t$  but not on  $\bar{t}$ .  
 $\Rightarrow$  holomorphic in the moduli space  
 $\left\{ \begin{array}{l} \text{K\"ahler moduli for A-model} \\ \text{Complex structure moduli} \\ \text{for B-model.} \end{array} \right.$

- A-model :

$$\bar{t} \rightarrow \infty : k_{a\bar{i}\bar{j}} \partial X^{\bar{j}} \bar{\partial} X^{\bar{i}}$$

$\bar{\partial} X = 0$  : instanton approx  
 is exact

- B-model :

no K\"ahler moduli dependence

large volume limit of  $M$

$\rightarrow$  only constant maps contribute.

- CY<sub>3</sub> is special.

$$\int \bar{A} J + A \bar{J} \Rightarrow \bar{\partial} J \sim \hat{c} F_{z\bar{z}}$$

chiral anomaly

Since we set  $A = -\frac{i}{2}\omega$ ,  
we have  $\bar{\partial} J \sim \hat{c} R \sqrt{g}$ .

- Another way to see this:

$$\text{Set } J = i\sqrt{c} \partial\phi$$

$$J(z) J(w) \sim \frac{\hat{c}}{(z-w)^2}$$

$$\Rightarrow \phi(z) \phi(w) \sim \log \frac{1}{|z-w|}$$

$$\Rightarrow \text{action for } \phi = \int \frac{1}{z} \partial\phi \bar{\partial}\phi$$

Add

$$\sqrt{\hat{C}} \int \frac{1}{2} \bar{\omega} \partial \phi + \frac{1}{2} \omega \bar{\partial} \phi$$

$$\rightarrow \frac{\sqrt{\hat{C}}}{2} \int R \phi \sqrt{g}$$

Since  $\frac{1}{2} \int R \sqrt{g} = 1 - g$  genus of  $\Sigma$

the total amount of J-charge violation  
is  $\hat{C}(1-g)$ .

Yet another way to see this:

$$\psi^i : (0,0) \Rightarrow \# \text{zero modes} = n$$

$$\bar{\psi}^i : (1,0) \Rightarrow \# \text{zero modes} = ng$$

$$\Rightarrow n(1-g) \quad n = \dim_{\mathbb{C}} M.$$

The index does not change by perturbation

In the following, we use the A-model notation.

$$Q_{BRST} = \oint G^+ + \oint \bar{G}^+.$$

If  $\hat{C} = 3$ , we can define

$$\left\langle \prod_{i=1}^{3g-3} G^-(z_i) \bar{G}^-(\bar{w}_i) \right\rangle$$

The Beltrami diff's  $\eta^z \bar{z}$

$\hookrightarrow T M_g \leftarrow$  moduli space of  $\Sigma$ .

Since  $\int_{\Sigma} G^- \eta$  is well defined.

$$\left\langle (G^-)^{3g-3} (\bar{G}^-)^{3g-3} \right\rangle$$

the top form on  $M_g$ .

$$\Rightarrow F_g = \int_{M_g} \left\langle (G^-)^{3g-3} (\bar{G}^-)^{3g-3} \right\rangle$$

- For  $g=1$ , we need to fix translational invariance

$$\frac{\partial F_{g=1}}{\partial t^a} = \int \langle G^- \bar{G}^- \phi_a(0) \rangle$$

$M_{g=1, m=1}$

This can be integrated to give

$$F_g = \int \langle J \cdot \bar{J} \rangle$$

||



$$\text{tr} (-1)^{J_0 + \bar{J}_0} J_0 \bar{J}_0$$

$$g^{L_0 - C/2} \bar{g}^{\bar{L}_0 - C/2}$$

- For  $g=0$ , we need to fix 3 pt's.

$$C_{abc} = \langle \phi_a(0) \phi_b(1) \phi_c(\infty) \rangle$$

If turns out,  $\exists$  prepotential  $F_0(t)$

$$C_{abc} = \frac{\partial^3 F_0}{\partial t^a \partial t^b \partial t^c}$$

- Special Geometry of the moduli space of CY<sub>3</sub>.

The moduli space  $M$  is locally a product  $M_K \times M_c$

Kähler	complex
A-model	B-model

- $M_c$

Since the hol 3-form  $\Omega$  is unique up to scale, it defines a line bundle  $\mathcal{L}$  over  $M_c$ . (= subbundle of the Hodge bundle )

with a metric

$$\|\Omega\|^2 = i \int_M \Omega \wedge \bar{\Omega}$$

Define  $K = -\log \|\Omega\|^2$

then,  $M_C$  is a Kähler mfd

$$G_{ab} = \partial_a \partial_b K.$$

• flat coordinates on  $M_C$ .

Choose a basis  $\{\alpha_I, \beta^I\}_{I=0, 1, \dots, h^{1,2}}$   
of  $H_3(M; \mathbb{Z})$

$$(\dim H_3 = 2 + 2h^{1,2})$$

Define the period integrals

$$X^I = \int_{\alpha_I} \Omega, \quad F_I = \int_{\beta^I} \Omega$$

$F_I = F_I(X)$  : homogeneous,  
weight 1.

$\exists F(X),$

$$F_I = \frac{\partial F}{\partial X^I}$$

$$t^i = \frac{x^i}{x^0} \quad i=1, \dots, h^{1,2}$$

flat coordinates

If  $w$  is the minin of  $M$ ,

$t^i$ 's give the Kähler moduli of  $w$ .

$F(X)$  is not globally defined on  $M_c$

but  $C_{ijk} = \frac{\partial^3 F}{\partial t^i \partial t^j \partial t^k}$  is

One can show

$$K = -\log (4F - 4\bar{F} + \bar{t}^a \partial_a F - t^a \bar{\partial}_a \bar{F})$$

and then

$$(*) \quad R_{ab\bar{c}\bar{d}} = G_{a\bar{d}} G_{\bar{b}c} + G_{a\bar{b}} G_{c\bar{d}} - e^{2K} C_{ace} \bar{C}_{\bar{b}\bar{d}\bar{f}} G^{ef}$$

$$(\Leftrightarrow [\nabla_c, \bar{\nabla}_{\bar{c}}] = 0)$$

The special geometry relation  
has been derived from

- complex geometry
- topological string theory
- Spacetime  $N=2$  SUSY for  $\mathbb{R}^4 \times \text{CY}_3$

A-model,

Same story, except

$$C_{abc} = \int_M k_a \wedge k_b \wedge k_c + \sum_m m_a m_b m_c N_{0,m} \times \frac{e^{2\pi i \alpha}}{1 - e^{2\pi i \alpha}}$$

$$\left( \begin{array}{l} \tilde{N}_{0,dm}; \text{ Gromov-Witten invariant } \\ = \sum_{k|d} \frac{1}{k^3} N_{0,dm/k} \end{array} \right)$$

• Higher genus

$$F_g = \int_{M_g} \langle (G^-)^{3g-3} (\bar{G}^-)^{3g-3} \rangle$$

$$\frac{\partial}{\partial t^a} \rightarrow \text{insertion of } \int G^+ \bar{G}^+ \phi_a$$

$$\text{Since } \{ \phi G^+, G^- \} = \tilde{T},$$

this gives rise to total der on  $M_g$ .

$$\frac{\partial}{\partial t^a} F_g = 0 \text{ if we can ignore } \partial M_g.$$

In fact

$$\begin{aligned} \frac{\partial}{\partial t^a} F_g &= \frac{1}{2} \bar{C}_{\bar{a}\bar{b}\bar{c}} e^{2K} G^{b\bar{b}} G^{c\bar{c}} \\ &\times \left\{ D_b D_c F_{g-1} + \sum_{r=1}^{g-1} D_b F_r D_c F_{g-r} \right\} \end{aligned}$$

This can be used  
to compute  $F_g$  exactly.

## A-model

Since  $\bar{t}$  dependence is controlled, we can choose  $\bar{t}$  as we like.

$\bar{t} \rightarrow \infty$ ; WS instanton computations becomes exact.

$$\frac{\partial F_I}{\partial t^a} = -\frac{1}{24} \int k_a \wedge c_2 \quad \text{Chern class of } M$$

$$- \sum_m m_a N_{1,m} \sum_m \frac{e^{2\pi i m n t}}{1 - e^{2\pi i m n t}}$$

$$- \frac{1}{12} \sum_m m_a N_{0,1} \frac{e^{2\pi i n t}}{1 - e^{2\pi i n t}}$$

↑  
bubbling effect



$$F_g = \frac{1}{2} \chi(M) \int_M c_{g-1}^3 \quad \text{Chern class}$$

$$+ \sum_n N_{g,n} e^{2\pi i n t} + \dots \quad \text{for the Hodge bundle}$$

$$\int_{M_g} C_{g-1}^3 = \frac{(-1)^{g-1}}{(2\pi)^{2g-2}} 2 \zeta(2g-2) \chi_g$$

$$\chi_g = \frac{(-1)^{g-1}}{2g(2g-2)} B_g : \text{Euler characteristic}$$

### B-model

$$F_{g=1} = \frac{1}{2} \sum_{P,Q} (-1)^{P+Q} p_Q \log \det \Delta^{P,Q}$$

$$\Delta^{P,Q} = \bar{\partial}^\dagger \bar{\partial} \text{ on } \Omega^{P,Q}(M)$$

Ray-Singer torsion

- indep of Kähler moduli
- obey the Quillen anomaly

(as expected from top. string)

D branes, preserve  $\frac{1}{2}$  of BRST.

A branes (branes in the A-model)

$$G^+ = \pm \bar{G}^+, \quad J = \bar{J}$$

B branes

$$G^+ = \pm \bar{G}^-, \quad J = -\bar{J}.$$

Assuming the gauge field on the branes are turned off.

A brane on  $\gamma \Leftrightarrow k|_{\gamma} = 0$

$$k = i g_{ij} - dx^i \wedge dx^j$$

B brane on  $\gamma \Leftrightarrow \gamma \subset M$   
holomorphic

Q: Show this.

If, in addition, we want spacetime  
SUSY, we need  $\Omega = e^{i\sqrt{c}\phi}$   
to be glued nicely left/right.  
( SUSY generator  $\sim e^{i\sqrt{c}/2\phi}$  )

$A + \text{SUSY} \Rightarrow$  special Lagrangian

$$\Omega|_Y = \text{volume form}|_Y$$

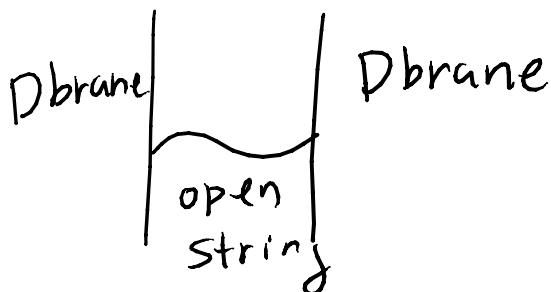
( note  $\Omega \wedge \bar{\Omega} = \det g$  )

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more general definition.

D brane : object in a category

open string : morphism



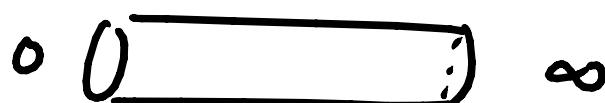
# Topological String Theory ①

## 2. Computation

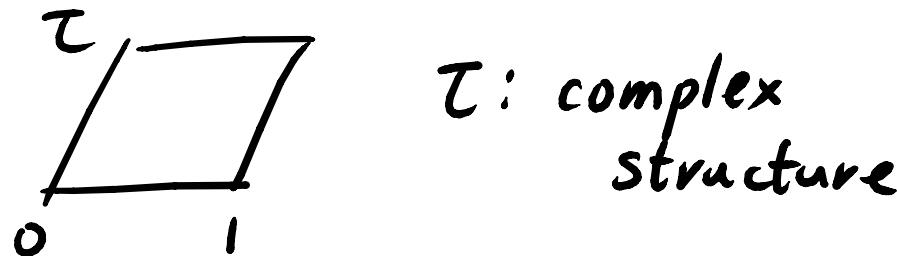
- examples of CY

1d

- $\mathbb{C}$
- $\mathbb{C}^{\times} = \mathbb{C} \setminus \{0\} \sim \text{cylinder}$



- $T^2 = \mathbb{C} / (\mathbb{Z} + \tau \mathbb{Z})$



$t = i\theta + r$  : Kähler moduli

( $\theta$ : B field,  $r$  = area  $T^2$ )

T-duality  $\tau \leftrightarrow t$

(mirror symmetry)

2d

- ALE (asymptotically locally Euclidean)

$$\mathbb{C}^2/G, G \subset \underbrace{\text{SU}(2)}$$

"resolve  
the singularity"      needed to preserve  
a holomorphic 2 form

e.g.  $G = \mathbb{Z}_n$ .

$(n-1)$  resolved  $\mathbb{CP}^1$ 's

$$C_1, \dots, C_{n-1}$$

$$C_i \cap C_j = -2,$$

$$C_i \cap C_j = \begin{cases} 1 & |i-j|=1 \\ 0 & \text{otherwise.} \end{cases}$$

$\Rightarrow$  Cartan matrix of  $A_{n-1}$ .

•  $K_3$  : compact CY<sub>2</sub>

e.g. start with  $\mathbb{C}P^3$

$$(z_1, \dots, z_4) \sim (\lambda z_1, \dots, \lambda z_4)$$

$$\lambda \in \mathbb{C}^\times$$

Consider  $P(z_1, \dots, z_4) = 0$ .

$\uparrow$   
homogeneous of degree d.

In general,

a hypersurface  $X$  in  $\mathbb{C}P^{k-1}$

defined by  $P(z_1, \dots, z_k) = 0$

$\uparrow$   
 $\deg d$

has

$$C_1(X) \sim (d-k) C_1(\mathbb{C}P^{k-1})$$

So  $d=k$ .

In the above,  $d=4$  for  $K_3$ .

$d=3$

• local  $\mathbb{C}P^2$

$\mathbb{C}P^2$  : not  $CY_3$

Consider a line bundle over  $\mathbb{C}P^2$ .

The 1<sup>st</sup> Chern class of the fiber  
should cancel that of the base.

$\Downarrow$

Start with  $(x, z_1, z_2, z_3) \in \mathbb{C}^4 \setminus \{0\}$

Identify  $(x, z_1, z_2, z_3)$

$$\sim (\lambda^{-3}x, \lambda z_1, \lambda z_2, \lambda z_3)$$

It is the total space of

$$\mathcal{O}(-3) \rightarrow \mathbb{C}P^2.$$

This describe the geometry of  $CY_3$   
near a 4-cycle  $\sim \mathbb{C}P^4$ .

• local  $\mathbb{C}P^1$

$$(x_1, x_2, z_1, z_2)$$

$$\sim (\lambda^{-1}x_1, \lambda^{-1}x_2, \lambda z_1, \lambda z_2)$$

This is the total space of

$$\mathcal{O}(-1) \oplus \mathcal{O}(-1) \rightarrow \mathbb{C}P^1.$$

also known as the resolved conifold.

### Conifold

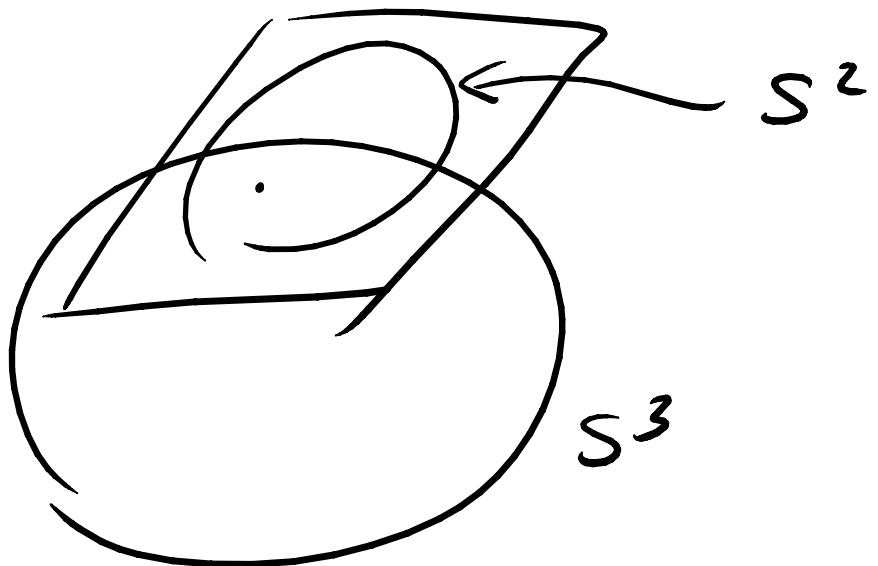
$$(x, y, w, z) \in \mathbb{C}^4$$

$$xy - wz = \mu . \quad \mu : \text{complex moduli}$$

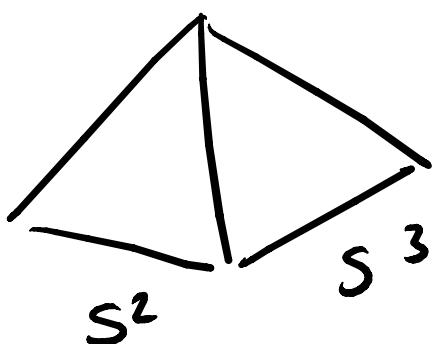
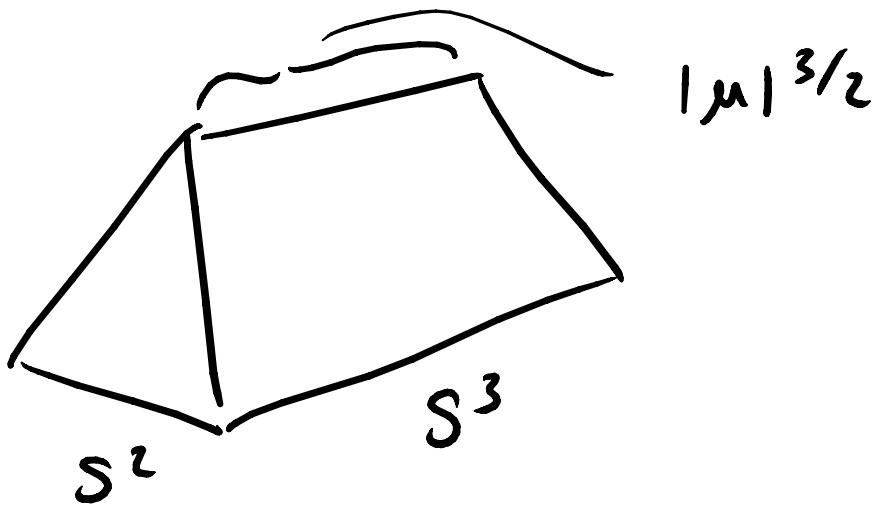
gives the deformed conifold.

$$\sim T^*S^3$$

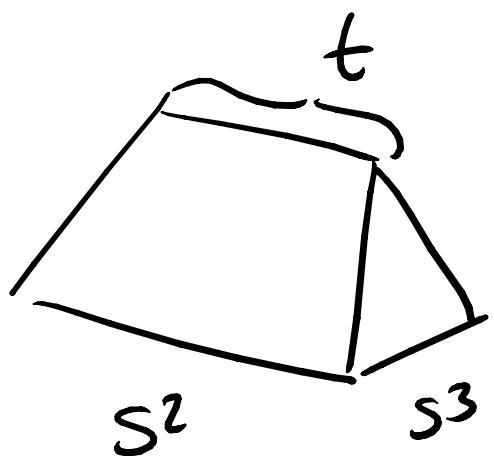
$$(\text{vol } S^3 \sim |\mu|^{3/2})$$



The infinity of  $T^* S^3$   
looks like  $S^3 \times S^2$ .



$\mu \rightarrow 0$   
conifold  
singularity



resolved  
conifold.

Toric CY<sub>3</sub>

Start with  $\mathbb{C}^{N+3} \setminus \{0\}$

$$\ni (z_1, \dots, z_{N+3})$$

- Divide by  $U(1)^N$

$z_i$ : charge  $(Q_i^1, \dots, Q_i^N)$

gauge symmetry

- $\sum_i Q_i^a |z_i|^2 = t^a$ ; Kähler moduli

Gauss law constraints

CY<sub>3</sub> condition:

$$\sum_i Q_i{}^a = 0 \quad a = 1, \dots, N.$$

e.g. local  $\mathbb{C}P^2$

- $(z_0, z_1, z_2, z_3)$   
 $\sim (e^{-3i\theta} z_0, e^{i\theta} z_1, e^{i\theta} z_2, e^{i\theta} z_3)$
- $-3|z_0|^2 + |z_1|^2 + |z_2|^2 + |z_3|^2 = r$ .

Kähler form

$$k = \sum_{i=0,1,2,3} dz_i \wedge d\bar{z}_i$$

$$= \sum_i d\rho_i \wedge d\phi_i$$

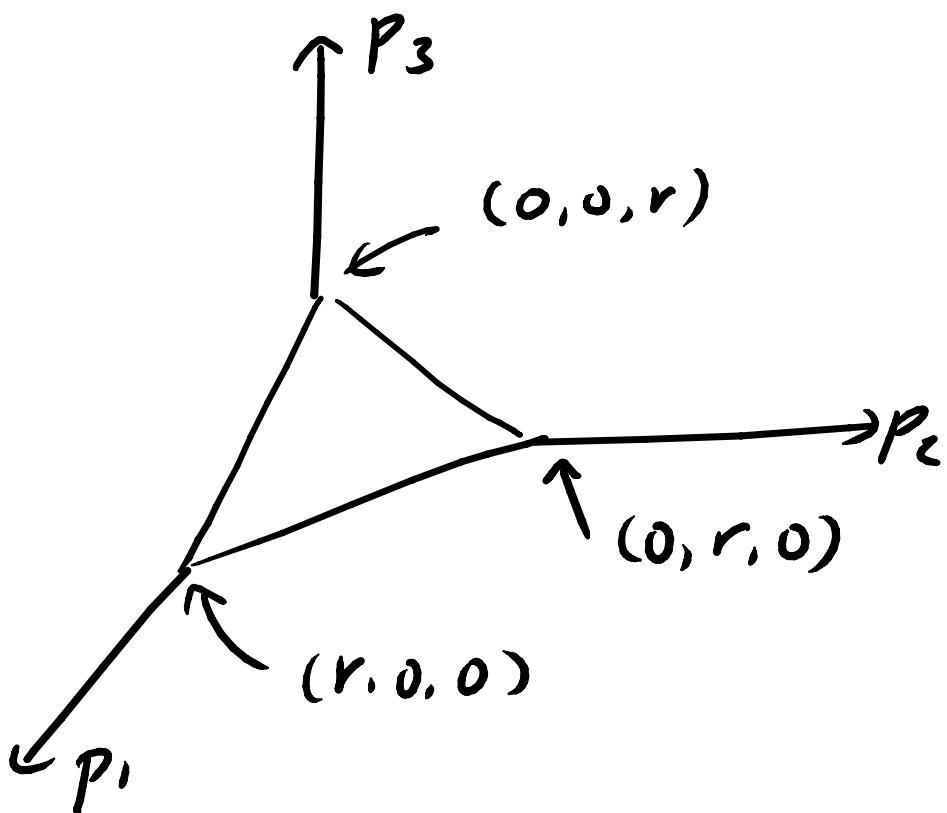
$$z_i = \sqrt{\rho_i} e^{i\phi_i}$$

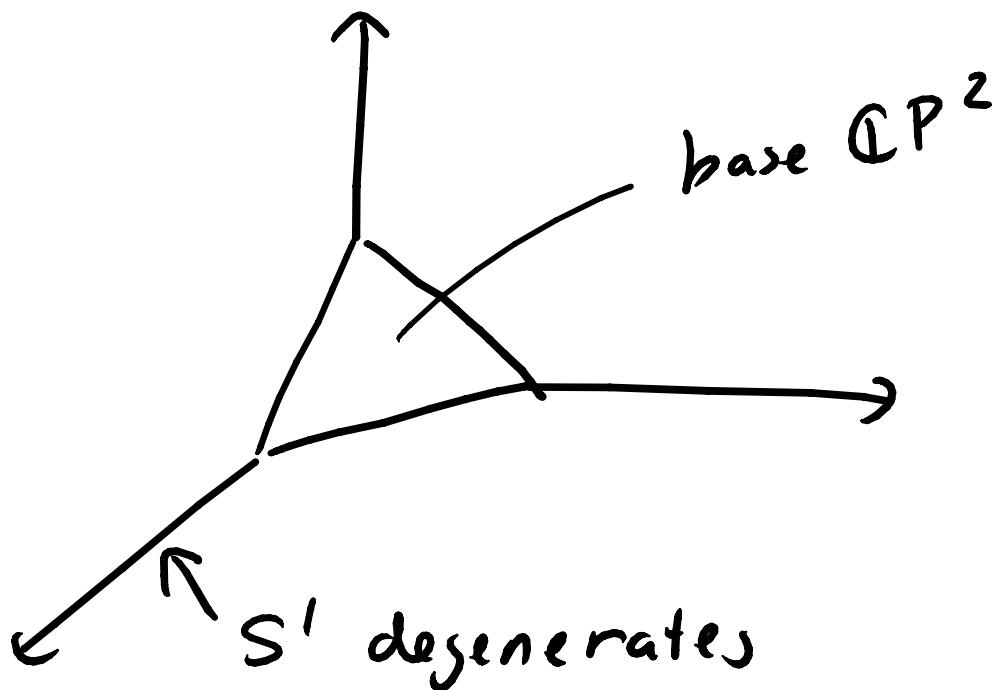
$$\left\{ \begin{array}{l} -3P_0 + P_1 + P_2 + P_3 = r \\ (\phi_0, \phi_1, -\phi_2, \phi_3) \\ \sim (\phi_0 - 3\theta, \phi_1 + \theta, \phi_2 + \theta, \phi_3 + \theta) \end{array} \right.$$

We can eliminate  $(P_0, \phi_0)$   
and consider  $T^3$  fiber over  
 $(P_1, P_2, P_3)$ .

$$P_1 + P_2 + P_3 > r$$

$$P_1, P_2, P_3 > 0$$





- local  $CP^1$

$$-P_0 - P_1 + P_2 + P_3 = 0$$

$$(\phi_0, \phi_1, \phi_2, \phi_3)$$

$$\sim (\phi_0 - \theta, \phi_1 - \theta, \phi_2 + \theta, \phi_3 + \theta)$$

Q: What is the tonic diagram?

• local  $\mathbb{C}P^1 \times \mathbb{C}P^1$

$$(Q) = \begin{pmatrix} -2 & 0 & 1 & 1 & 0 & 0 \\ 0 & -2 & 0 & 0 & 1 & 1 \end{pmatrix}$$

What is the tonic diagram?

---

Mirror Symmetry

$(X, \tilde{X})$  : mirror pair  
of CY<sub>3</sub>'s

A model on X

$\Leftarrow$  B model on  $\tilde{X}$ .

$X$  : toric  $C\mathbb{T}_3$

$(Q_i^a, t^a)$



$\tilde{X}$  :  $uv + F(e^\alpha, e^\beta) = 0$

$$F = \sum_{i=1}^{N+3} c_i(t) e^{n_i \alpha + m_i \beta}$$

$$\left\{ \begin{array}{l} \sum_i Q_i^a n_i = 0 \\ \sum_i Q_i^a m_i = 0 \\ \sum_i Q_i^a \log c_i = -t^a \end{array} \right.$$

To be precise  $t^a$ 's are

FI parameters and

receive WS instanton corrections

For the conifold ,

$$F = e^{x+y} + e^x + e^y + e^{-t}$$

$uv + F = 0$  ; min of  
conifold

When  $t \rightarrow 0$

$$F = (e^x + 1)(e^y + 1)$$

ref : Mirror Book

D branes

Remember :

A-branes : Lagrangian 3 cycles

B-branes : holomorphic cycles

$$F_{g,m} = \int_{M_{g,m}} \langle (G^-)^{6g-6+m} \rangle$$

$$M_{g,m} \quad g = \text{genus}$$

$$m = \# \text{ boundaries}$$

String field theory

$$S = \frac{1}{2} \langle \bar{\Phi} | Q_{BRST} | \bar{\Phi} \rangle$$

$$+ \frac{1}{3} \langle \bar{\Phi} | \bar{\Phi} * \bar{\Phi} \rangle$$

Witten

9207094

B-model :

SFT  $\rightarrow$  field theory

A-model :

SFT  $\rightarrow$  field theory

if there are no compact 2cycles  
( $\rightarrow$  no Kähler moduli)

e.g. A-model on  $T^*S^3$

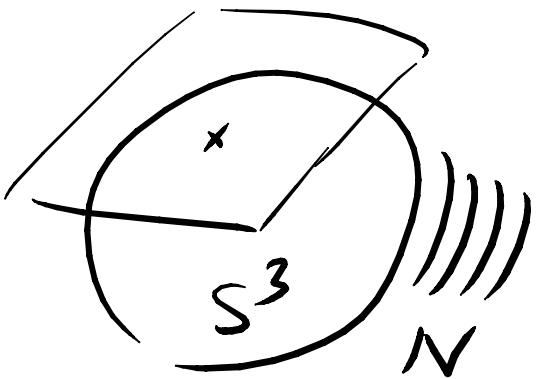
The base  $S^3$  is a Lagrangian

( $\because k = dp \wedge dx, \quad x \in S^3$ )  
 $p \in T_x^*S^3$

$N$  A brane)



SFT = CS gauge theory,  $U(N)$



$$\exp \left( - \sum_{g,n} F_{g,n} \gamma^{2g-2+n} N^n \right)$$

$$\gamma = \frac{1}{k+N} \quad k: \text{level}$$

We should include the gauge group volume  $\text{vol } U(N)$ .

$$Z_{CS}(S^3) = \frac{e^{\frac{\pi i}{8} N(N-1)}}{(k+N)^{N/2}} \sqrt{\frac{k+N}{N}}$$

$$\times \prod_{s=1}^{N-1} \left( 2 \sin \left( \frac{s\pi}{k+N} \right) \right)^{N-s}$$

We can expand this in powers of

$$\lambda = \frac{1}{k+N}$$

$$Z(S^3) = \exp\left(-\sum_g \lambda^{2g-2} F_g(t)\right)$$

$$F_0(t) = \frac{c}{12} t^3 + \dots + \sum_{n=1}^{\infty} n^{-3} e^{-nt}$$

$$F_1(t) = \frac{1}{24} t + \frac{1}{12} \log(1-e^{-t})$$

$$F_g(t) = \int_{m_g} c_{g-1}^3 - \frac{\chi_g}{(2g-3)!} \sum_{n=1}^{\infty} n^{2g-3} e^{-nt}$$

$$t = N\lambda.$$

These are top string partition functions on a resolved conifold.

What is going on?

Large  $N$  duality :

$k$ -types of D-branes

$$F_{g; m_1 \dots m_k}$$



$$F_g(t) = \sum_{m_1 \dots m_k} F_{g; m_1 \dots m_k} t_1^{m_1} \dots t_n^{m_k}$$

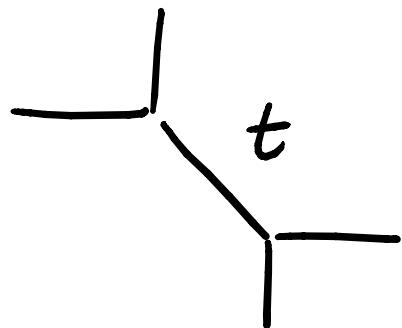
$\exists M(t)$  : a family of CY<sub>3</sub>'s

s.t.,

$F_g(t)$  is a closed string partition function on  $M(t)$ .

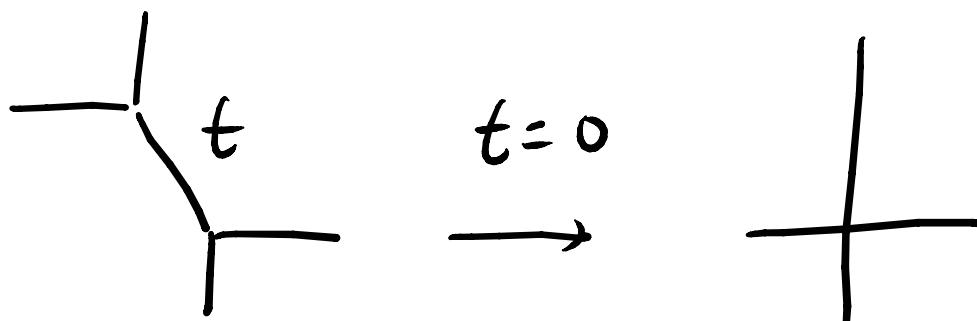
# Geometric Transition

resolved conifold

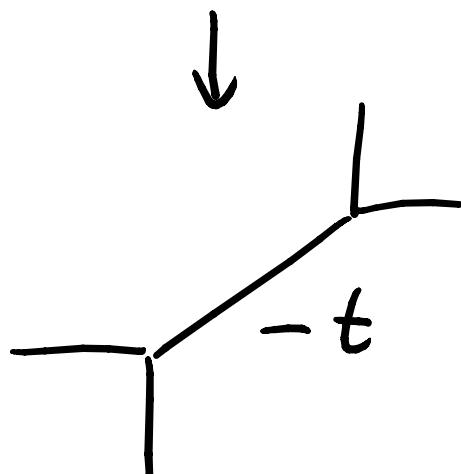


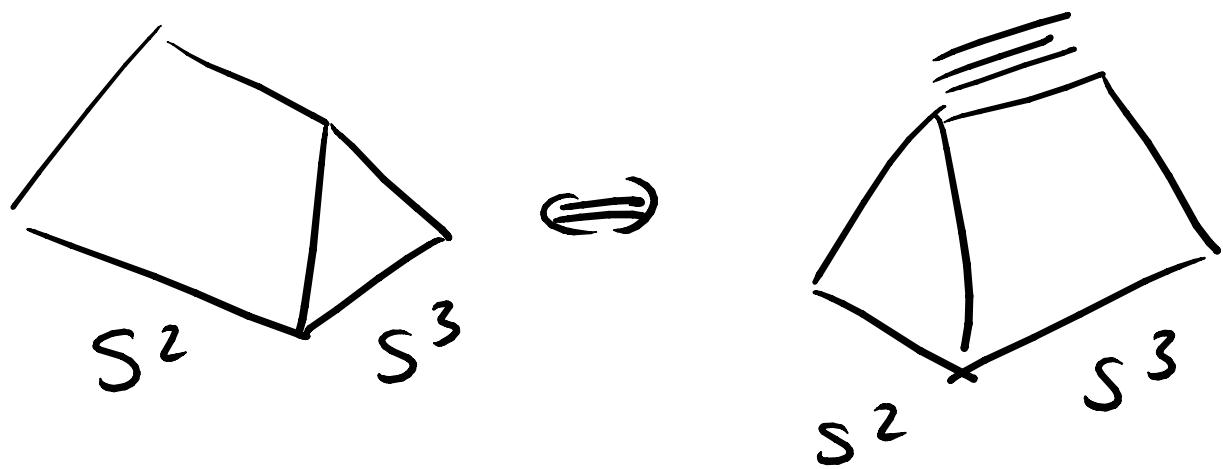
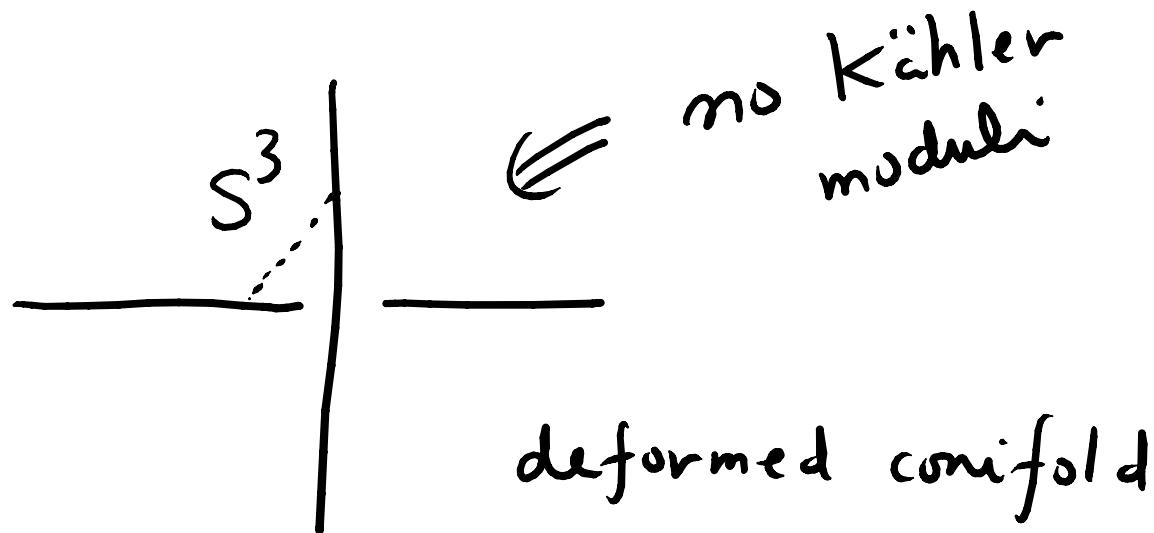
slightly different  
from the previous  
diagram

$T^2 \times \mathbb{R}$  fibration over  $\mathbb{R}^3$



flop





closed string  
on resolved  
conifold

Chern-Simons  
on  $S^3$

$$t = N g_s$$

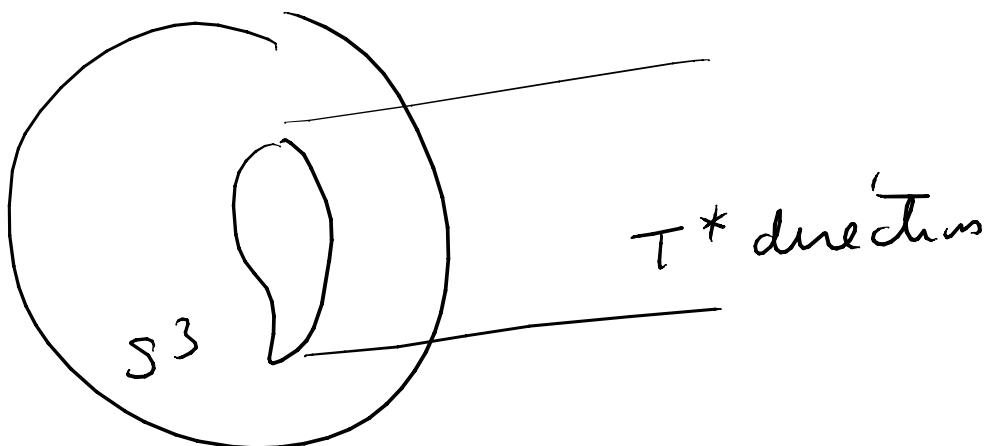
Not only the partition function  $Z(S^3)$ ,  
 we can also compute knot invariants

Suppose

$$C = \{ g^i(s) \mid g(s) \in S^3, \\ 0 \leq s \leq 2\pi, g(0) = g(2\pi) \}$$



$$X_C = \{ (g(s), p) \in T^*S^3 \mid \\ p_i \frac{dg^i}{ds} = 0 \}$$



$C_\ell$  is a Lagrangian

$$\sim S^1 \times \mathbb{R}^2$$

We can turn on a holonomy  
 $U \in U(N)$  on  $S^1 \subset C_\gamma$ .

$$Z_C(S^3; U) = \langle \exp \left( \sum_{m=1}^{\infty} \frac{1}{m} \text{tr } U^{-m} \times \text{tr } W(C)^m \right) \rangle_{CS}$$

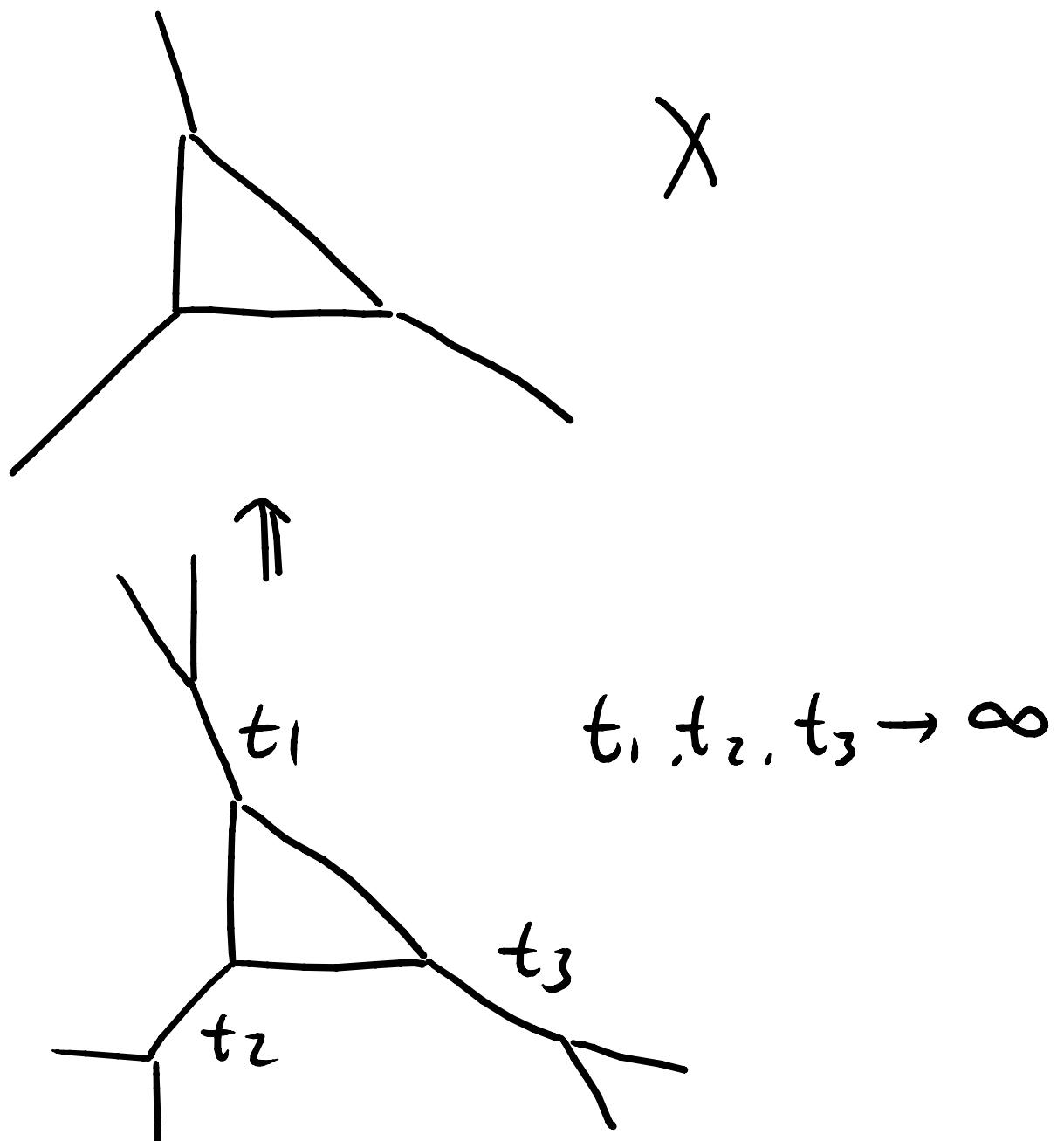
where  $W(C) = \prod_C \exp \oint A$   
 in fundamental rep.

This is a generating function  
 of  $\langle \text{tr}_R W(C) \rangle_{CS}$

for any rep  $R$  of  $U(N)$

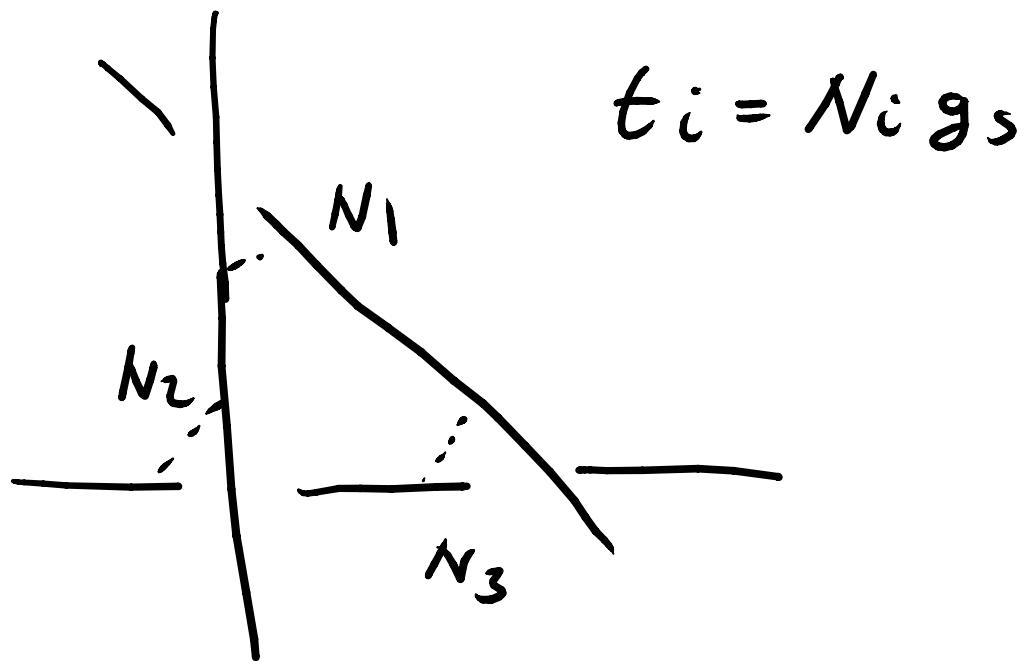
Ooguri-Vafa 9912123

• local  $\mathbb{C}P^2$



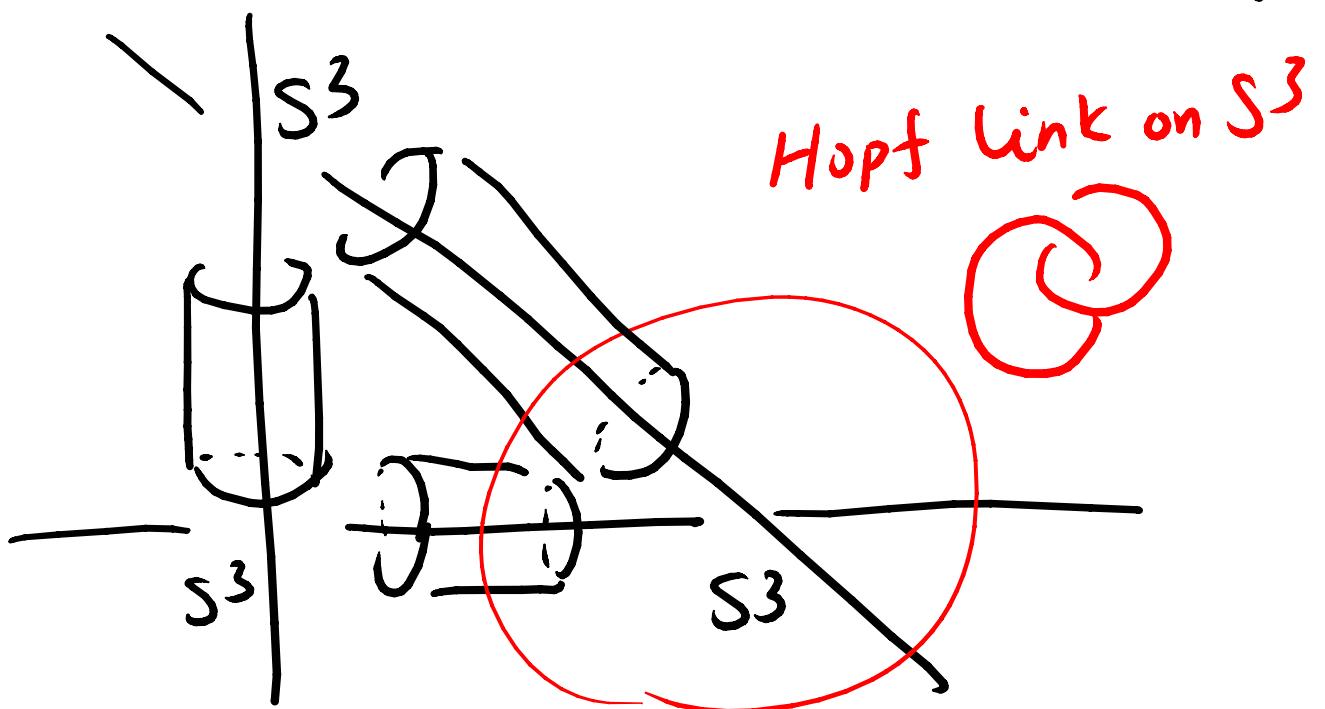
If we can compute this for  
all  $t_1, t_2, t_3$ , we can complete  
 $Z_{\text{top}}(X)$ .

Geometric transition in  $t_i$ 's



No Kähler moduli

$\Rightarrow$  purely open string theory



By Ooguri + Vafa (hepth/9912123)

Aganagic, Marino + Vafa (0206164)

$$Z_{top}(X) = \sum_{R_1 R_2 R_3} e^{-t |R_1|} S_{R_1 R_2} \\ e^{-t |R_2|} S_{R_2 R_3} \\ e^{-t |R_3|} S_{R_3 R_1}$$

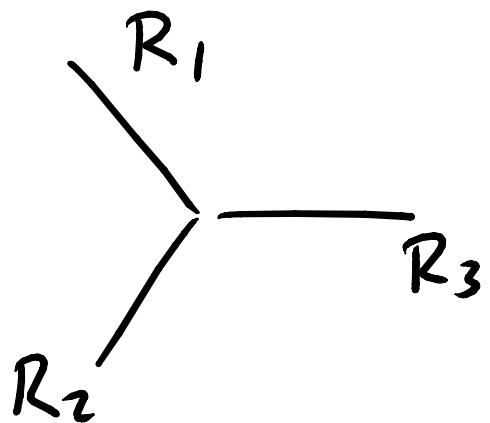
$R_i$ : irrep's of  $U(N)$  ( $N \rightarrow \infty$ )

$|R|$ : # of boxes in the Young diagram

$$S_{R,R'} = \langle \text{Diagram} \rangle$$


This formula is for all genus.

# Topological Vertex



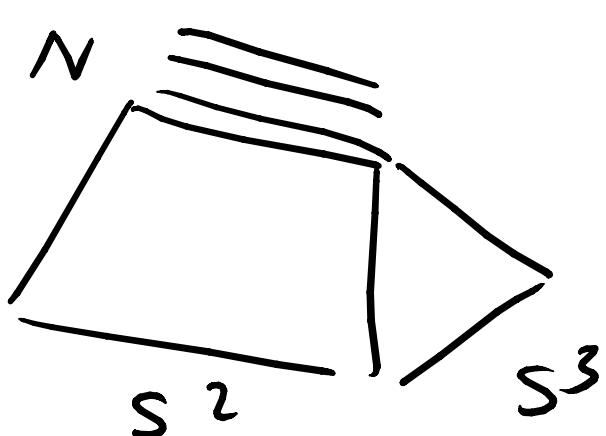
$C_{R_1 R_2 R_3} (g_s)$

$$\overline{R} e^{-t|R| + m C_2(R)}$$

↑  
orientation

Dijkgraaf-Vafa  
0206255

## Matrix Model



resolved conifold  
in B model

$S^2$ : holomorphic 2 cycle

$\leftarrow$  B brane.

In this case

the SFT = Gaussian matrix model.

$$Z_G = \frac{1}{\text{vol } U(N)} \int dM e^{-\frac{1}{2\lambda} \text{tr } M^2}$$

$$= \frac{(2\pi)^{N^2 + \frac{N}{2}}}{G_S(N+1)} \lambda^{N^2/2}$$

$$F_G = \log Z_G$$

$$= \frac{N^2}{2} (\log N\lambda - \frac{3}{2})$$

$$- \frac{1}{12} \log N + 5'(-1)$$

$$+ \sum_{g=2}^{\infty} \frac{B_{2g}}{2g(2g-2)} N^{2-2g}$$

$$= \sum_{g=0}^{\infty} \lambda^{2g-2} F_g(t)$$

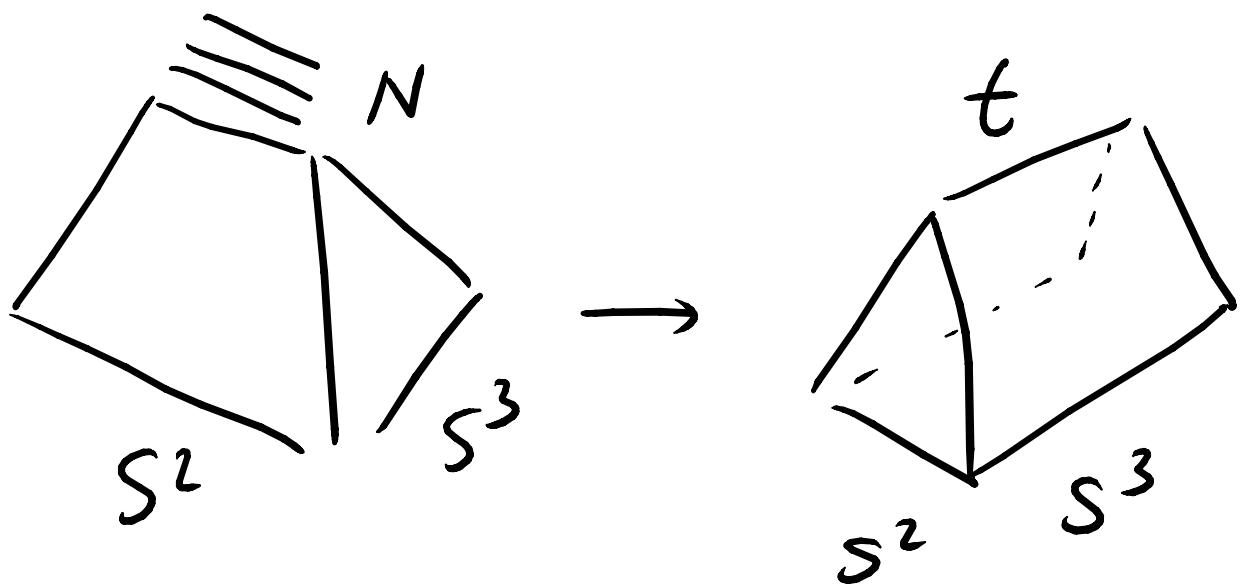
$$t = N\lambda$$

$$F_0 = \frac{1}{2} t^2 (\log t - \frac{3}{2})$$

$$F_1 = -\frac{1}{12} \log t + \dots$$

$$F_g = \frac{B_{2g}}{2g(2g-2)} \frac{1}{t^{2g-2}}$$

This is the closed B-model  
amplitude for the  
deformed conifold



$$uv + zw = t$$

$$(t = N\lambda)$$

### 3. Applications

Type IIA/B on  $\mathbb{R}^4 \times CY_3$

with or without branes

$\Rightarrow N=1$  or 2 effective action

$\Rightarrow$  BPS counting

• Type IIA on  $\mathbb{R}^4 \times CY_3$

$\Rightarrow N=2$  SUSY in  $\mathbb{R}^4$

$N=2$  SUGRA ( $\ni$  gravi-photon)

Vector multiplet  $h^{1,1}$

Hyper multiplet  $h^{2,1} + \underbrace{1}_{\text{dilaton-axion}}$

For IIB,  $h^{1,1} \rightarrow h^{2,1}$   
 $h^{2,1} \rightarrow h^{1,1}$

(important fact :

The dilaton is in hyper.

Since there are no non-derivative coupling between vector and hyper, some vector multiplet terms can be computed exactly.

$N=2$  chiral superfield for Vectors.

$$T^i(\theta) = \underbrace{e^i}_{\text{K\"ahler moduli}} + \dots$$

K\"ahler moduli for IIA

$$W_{\alpha\beta}(\theta) = \underbrace{F_{\alpha\beta}}_{\text{Self-dual part}} + R_{\alpha\beta\gamma\delta}\theta_1^\gamma\theta_2^\delta + \dots$$

self-dual part of  
grav.-photon field strength.

Consider

$$\int d^2\theta_1 d^2\theta_2 (W_{\alpha\beta} W^{\alpha\beta})^{2g} F_g(T)$$

$$= R_+^2 F_+^{2g-2} F_g(t) + \dots$$

$F_g$ : genus- $g$  topological string partition function.

Why?

If we write  $J = i\sqrt{c}\partial\phi$

$$\Rightarrow \frac{i}{2}\sqrt{c} \int R\sqrt{g} \phi d^2z$$

We can choose  $R\sqrt{g} = - \sum_{i=1}^{2g-2} \delta^{(2)}(z-z_i)$

Insertion of  $\prod_{i=1}^{2g-2} e^{-\frac{i}{2}\sqrt{c}\phi(z_i)}$

$\underbrace{\hspace{10em}}$

Ramond vertex

BCOV 9309140

In particular,  $F_0(t)$  is  
the low energy prepotential  
of the  $N=2$  vector multiplet.

- Geometric Engineering.

Start with  $\mathbb{R}^4 \times CY_3$

Take  $l_s \rightarrow 0$ .

At the same time, focus on  
a local geometry of  $CY_3$

$\Rightarrow$  rigid  $N=2$  theory  
(gravity decoupled)

$\Rightarrow F_0$  : Seiberg-Witten solution

Kachru-Vafa 9505105

- - -

$O K_3 \times \mathbb{R}^6$

Local geometry ALE

2 cycles  $\sim \mathbb{C}\mathbb{P}^1$  intersecting  
with the Cartan matrix of  $G \sim A, D, E$ .

e.g.,  $G = A_m = SU(m+1)$

$m$  Kähler moduli  $\rightarrow m U(1)$ 's

$m \mathbb{C}\mathbb{P}^1 \rightarrow D_2$  on them  
charged w.r.t. the  $U(1)$ 's

$\Rightarrow SU(m)$  gauge theory

(6 supercharges).

further compactify on  $T^2$

ALE  $\times T^2 \times \mathbb{R}^4$

$\Rightarrow \mathcal{N}=4$  SYM with

$$\frac{1}{g_{\text{YM}}^2} = \text{vol}(T^2)$$

$T$ -duality on  $T^2$  = S-duality  
of  $\mathcal{N}=4$  SYM

- ALE fibration.

We can turn this into  $N=2$

by fiberizing ALE over a 2d base.

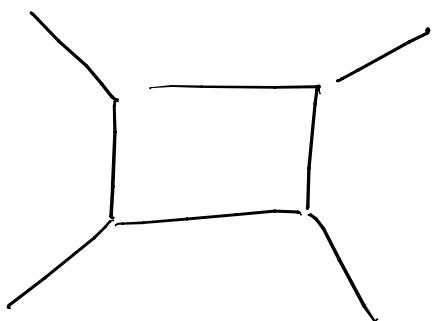
e.g.

A<sub>1</sub> geometry ( $\mathbb{C}^2/\mathbb{Z}_2$ )

over  $\mathbb{CP}^1 \Rightarrow N=2 \text{ SU}(2)$   
pure SYM on  $\mathbb{R}^4$

This is toric:

$$\mathbb{C}^5, Q = \begin{pmatrix} -2 & 1 & 1 & 0 & 0 \\ -2 & 0 & 0 & 1 & 1 \end{pmatrix}$$



$$\mathcal{O}(-2, -2) \rightarrow \mathbb{CP}^1 \times \mathbb{CP}^1$$

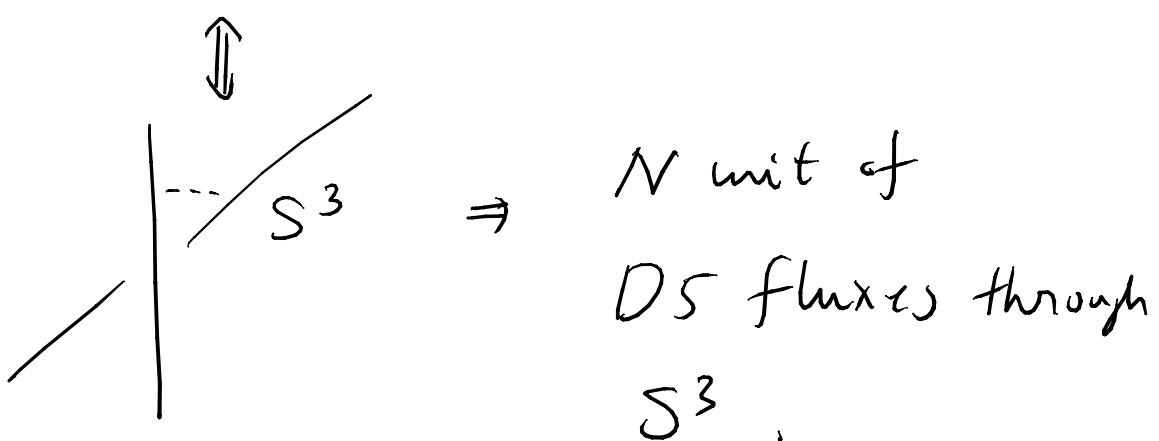
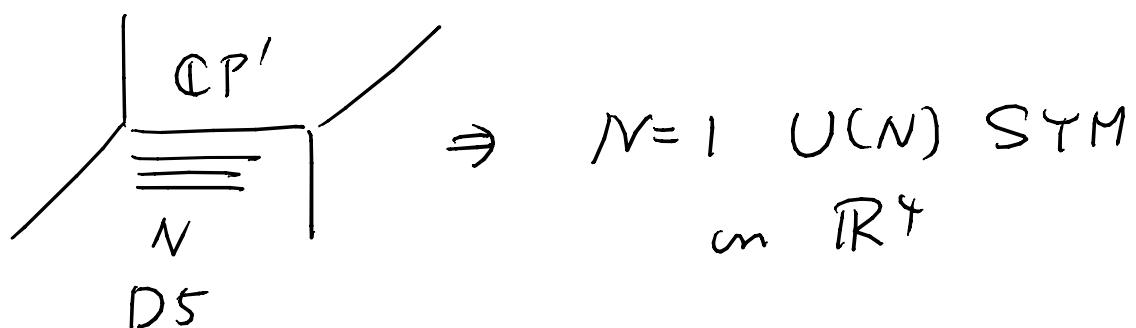
↓ mina

$$uv + F(x, y) = 0.$$

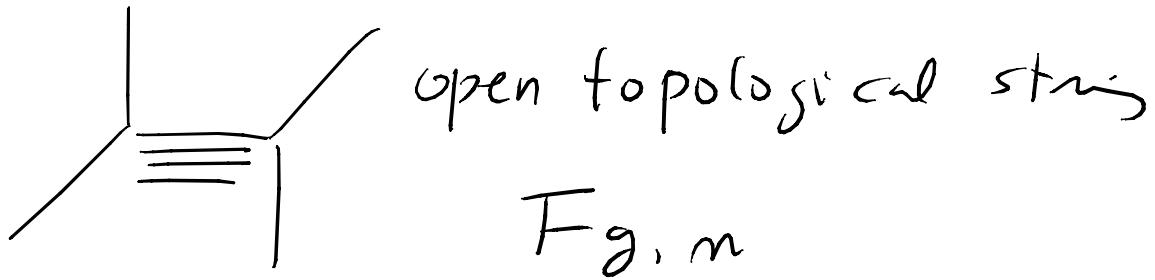
$F(x, y) = 0$ ; Seiberg-Witten curve

- Further reduction to  $N=1$ .
  - with branes  $\hookrightarrow$  large  $N$
  - with fluxes  $\hookrightarrow$  duality

e.g. IIB on resolved conifold



D brane side



$$F_g(S) = \sum_{n=0}^{\infty} F_{g,n} S^n$$

glueball  
superfield  $S = \text{tr } \gamma_\alpha \gamma^\alpha + \dots$   
↑  
gluino.

$N=1$  F-term

$$\int d^2\theta \left( N \frac{\partial F^0}{\partial S} + \underbrace{T S}_{\text{YM kinetic term}} \right)$$

YM kinetic term

$$T = \frac{4\pi i}{g_{YM}^2} + \frac{\phi}{2\pi}$$

BCOV '93

flux side

IIB on  $\mathbb{R}^4 \times CT_3 \Rightarrow N=2$

$$\int d^2\theta d^2\bar{\theta} F_0(X^I)$$

$$X^I = \chi^I + \dots + (\theta - \bar{\theta})^2 N^I$$

$$\chi^I = \int_{A_I} \Omega$$

$N^I$  = flux through  $A_I$

$$= \int d^2\theta N^I \frac{\partial F_0}{\partial X^I}(X)$$

If we have  $\tau_I$  flux on  $B^I$  cycle

$$N^I \frac{\partial F_0}{\partial X^I} + \tau_I N^I$$

Vafa 0008142

with branes

$$W = N^I \frac{\partial F_0}{\partial S^I} + \tau_I S^I$$

with fluxes

$$W = N^I \frac{\partial F_0}{\partial X^I} + \tau_I X^I$$

It is natural to identify

$$S^I = X^I.$$

example

D5 on the resolved conifold

↓ geometric transition

$N$  flux through deformed conifold

$$X = \int_A \Omega$$

$$F_X = \int_B \Omega = X \log X$$

$$\text{Since } F_X = X \log X = \frac{\partial F_0}{\partial X}$$

$$F_0 = \frac{1}{2} X^2 \log X$$

glueball superpotential

$$W(S) = N \frac{\partial F_0}{\partial S} - 2\pi c T S$$

$$= NS \log S - 2\pi c TS$$

Veneziano - Yankielowicz

glueball superpotential.

---

◦ Use of the matrix model.

For the conifold

$$uv + y^2 + xc^2 = 0$$

we can use the Gaussian matrix model to evaluate

$$F_{g,n}.$$

For a more general

$$uv + y^2 + W'(x)^2 = 0,$$

we can use

$$\int dM e^{-\frac{1}{g_s} W(M)}$$

Dijkgraaf - Vafa

BPS counting.

- M Theory on  $T\mathbb{R}^{1,4} \times CY_3$

5d BPS states  $\Leftarrow M_2$  on  $\beta \in H_2(CY_3)$

$SU(2)_L \times SU(2)_R$  little group

$$\Rightarrow \# \text{BPS states} = N_\beta^{(m_L, m_R)}$$

$$m = 2^j$$

$$N_\beta^{m_L} \equiv \sum_{m_R} (-1)^{m_R} N_\beta^{(m_L, m_R)}$$

"elliptic genus"

$$\left( \text{c.f. 2d CFT} \quad \text{tr} [(-1)^{J_R} Q^{J_L} g^H] \right)$$

Gopakumar - Vafa

9809187

Then, it is known

$$Z_{top} = \exp \left( \sum_g \gamma^{2g-2} F_g(t) \right)$$

A-model on  $CY_3$

$t$ : flat coords of the  
Kähler moduli space

$$= \prod_{\beta \in H_2} \prod_{k=1}^{\infty} (1 - q^{k+m} Q^\beta)^{KN_\beta^m}$$

$$q = e^{-\lambda}, \quad Q = e^{-t}$$

$$\prod_{k=1}^{\infty} \text{ comes from } \mathbb{R}^4$$

orbital angular momenta

This follows from the identity :

$$\begin{aligned}
 & -\log \prod_{k=1}^{\infty} (1 - g^k Q^\beta)^k \\
 &= \sum_m \frac{1}{m} \frac{Q^{m\beta}}{(2 \sinh \frac{m\lambda}{2})^2} \\
 &\quad \uparrow \\
 &\quad \text{Schwinger one-loop determinant} \\
 &\quad \log \det (\Delta + m^2)
 \end{aligned}$$

For  $\lambda \rightarrow 0$

$$\begin{aligned}
 \text{RHS} &\sim \frac{1}{\lambda^2} \underbrace{\sum_m}_{\text{multi covering formula}} \frac{1}{m^3} Q^{m\beta} \\
 &\quad \text{for } S^2 \rightarrow S^2 \text{ in } F_0
 \end{aligned}$$

• type II B on  $\mathbb{R}^4 \times \text{CY}_3$

$$\alpha_I, \beta^I : H_3(\text{CY}_3, \mathbb{Z})$$

$$g_I D_3 \text{ on } \alpha_I$$

$$p^I D_3 \text{ on } \beta^I$$

$\Omega(g, p) = \text{Witten index}$   
for bound states

$$\sum_g \Omega(g, p) e^{-g_I \phi^I}$$

$$= |Z_{top}(x^I = p^I + i\phi^I)|^2$$

$$Z_{top}(x) = \exp \left( \sum_g F_g(x) \right)$$

OSU formula 0405146